

# Research on Improving the Efficiency of Discipline Enforcement Supervision in Private Colleges and Universities

Yiheng Wang

Macau University of Science and Technology  
Macau, China  
Zhuhai College of Jilin University  
Zhuhai, China

**Abstract**—In recent years, as private higher education reform and development has made new achievements, new progress and results have also been made in private higher education in terms of the overall strict party governance. However, under the new situation, the construction of party conduct and clean government and anti-corruption work in private colleges and universities are still facing many challenges, and the efficiency of discipline enforcement supervision of their discipline inspection institutions has not been fully exerted. In order to effectively exert and enhance the efficiency of discipline enforcement supervision, private colleges and universities should do a good job in standardizing behavior with system, promoting the professional construction of discipline inspection and supervision team, clarifying the function positioning of discipline enforcement supervision department, and scientifically designing the discipline enforcement and accountability system, so that they can comprehensively promote strict party governance and create a clearer educational environment while standardizing behavior by improving the efficiency of discipline enforcement supervision.

**Keywords**—private colleges and universities; discipline enforcement supervision; efficiency

## I. INTRODUCTION

The theoretical origin of discipline inspection and supervision system mainly comes from the inner-party supervision thought of Marx and Engels (1847), while Lenin (1870) made discussion of inner-party supervision thought more systematically and in depth compared with Marx and Engels.

The party constitution and administrative supervision law give the discipline inspection organs three major responsibilities: supervision, discipline enforcement and accountability. And "discipline enforcement supervision", mainly refers to "discipline enforcement review" and "case hearing". "Discipline enforcement supervision" is the daily supervision of the supervised objects according to certain contents, procedures and requirements from the perspective of dynamic working mechanism. "Discipline enforcement supervision" focuses on "supervision", that is the supervision

over the exercise of power by leading party cadres. Therefore, it can be said that "discipline enforcement supervision" is a part of "supervision and discipline enforcement", especially the "supervision" part of "supervision and discipline enforcement".

Rules for the supervision and enforcement of discipline by the discipline inspection organs of the communist party of China (trial) (2017) focused for the first time on the level of rules for the supervision and discipline enforcement by the discipline inspection commission, and made a clear explanation of the working process and links. At the level of colleges and universities, in order to further strengthen the implementation of combating corruption and upholding integrity in colleges and universities, the CPC central commission for discipline inspection, the ministry of education and the ministry of supervision have issued the Opinions on Strengthening the Construction of Anti-corruption in Colleges and Universities (2008). In order to implement the requirements of comprehensive party governance and earnestly strengthen the party's leadership over private colleges and universities, the office of the central committee of the communist party of China issued the Opinions on Strengthening Party Building in Private Schools (trial) (2016) according to the party constitution and relevant laws and regulations.

The discipline enforcement supervision work of private colleges and universities is to find out problems timely and accurately in the supervision process. That means, to actively carry out criticism and self-criticism among members to let everyone abide by discipline and law, and be honest and clean as officials. In doing so, problems can be solved in the bud, and more party members and party cadres at all levels of the campus can be avoided falling into the abyss of violation of law and discipline.

## II. EXISTING PROBLEMS IN THE DISCIPLINE ENFORCEMENT SUPERVISION OF PRIVATE COLLEGES AND UNIVERSITIES

In July 2018, the central commission for discipline inspection (CCDI) and the national supervisory commission

(NCCDI) reported on the supervision, inspection and review status of discipline inspection and supervision organs nationwide in the first half of the year. According to the number of complaints received by the discipline inspection and supervision team from relevant universities, the number of complaints in the first five months of 2018 increased by 42 percent compared with the same period last year; the number of people directly under the ministry of education who were dealt with relevant departments for violation of disciplines and laws reached 1,919, among which 97.5% were personnel of colleges and universities directly under the ministry of education, and 141 were transferred to judicial organs for duty crimes. The above figures are more than enough to show that there are still some problems in the discipline inspection and supervision of colleges and universities at the present stage.

Although the discipline inspection and supervision departments of private colleges and universities play the role of discipline enforcement supervision to a certain extent, there is still a big gap between their work and the requirements of the higher competent departments and the expectations of teachers and students. As a result, there are still some problems to be solved in the discipline inspection and supervision of private colleges and universities and the efficiency of discipline enforcement supervision cannot be effectively played.

#### *A. Poor System Implementation*

As the education of private colleges and universities has the characteristics of both public welfare and market economy, the investors of private colleges and universities tend to pursue the maximization of interests unilaterally, thus weakening the construction of the party organization and the play of its functions. As a result, some private colleges and universities lack the system related to the construction of party conduct and clean government, especially the system related to education, system, supervision, reform, rectification and punishment. Therefore, in the work of discipline enforcement supervision, it is impossible for them to manage power by system, handle affairs by system and manage people by system. In addition, the most prominent problem in discipline enforcement supervision of private colleges and universities is placing the focus of the work to avoiding risks and increasing returns. In some private colleges and universities, some departments ignore laws and regulations to maximize the interests of their departments, and the wind of power departmentalization prevails. In the system execution they tend to avoid the important and dwell on the trivial. They perform their duty in a perfunctory manner and even determine whether to perform it according to whether the requirements are strict.

#### *B. Low Professional Level of Discipline Enforcement Supervision Team*

At present, the institutional setup, staffing and work responsibilities of the discipline inspection commission of some private colleges and universities have not been unified and standardized, leading to the complexity of the discipline inspection and supervision team, most of which are part-time.

The overall quality of the staff needs to be improved since they have low professional level. Besides, they have very limited learning and training opportunities provided by the superior. In some private colleges and universities, there are still some discipline inspection and supervision personnel who haven't changed their traditional way of thinking. They hold that discipline inspection and supervision work is a thankless work, because strict restraint and management of people will inevitably hurt feelings, and even offend people. Under the influence of this idea, some discipline inspection and supervision personnel hold a passive attitude in their work, which directly affects the authority and effectiveness of the discipline supervision work in private colleges and universities. In addition, it will take a long time for discipline inspection and supervision cadres in some private universities, especially new employees, to fully grasp the requirements of discipline inspection and supervision. The contradiction between the unreasonable staffing of the discipline inspection commission and the heavy and demanding task of discipline enforcement and supervision is becoming increasingly prominent. As a result, the discipline inspection and supervision teams in private colleges are short of professional talents and lack of experience in discipline inspection and supervision, and their professional level is not high.

#### *C. Unclear Position of Responsibility of Discipline Enforcement Supervision*

At present, some private colleges and universities generally merge discipline inspection and supervision departments with other departments. This leads to the need for the discipline supervision departments to regularly participate in the specific work of other functional departments, and many functional departments will also require participating in the work of discipline inspection and supervision departments, on-site supervision and synchronous supervision. In addition, the staff responsible for discipline supervision often spend a lot of time and energy in various bidding meetings, review meetings etc., ignoring their own functional positioning. This leads to the deviation from their core function of independent discipline supervision, thus weakening the work of discipline inspection and supervision departments. As a result, some private colleges and universities are faced with the dilemma of "what they are capable of are out of reach and what are within their reach are hard to manage" in their practical work. In addition, some private colleges and universities focus on discipline and neglect supervision, and lack effective measures in daily supervision.

#### *D. Unscientific Design of the System of Discipline Enforcement and Accountability*

In 1980, the leadership system of the discipline inspection commission was innovated, and they began "accepting the dual leadership of the discipline inspection commission at the higher level and the party committee at the same level, with the latter leading the way". Currently, the discipline inspection and supervision departments of private colleges and universities are nominally led by the higher discipline inspection and supervision organs and the

party committees at the same level. However, in reality, the higher discipline inspection and supervision organs mainly play the role of contact and supervision, separated from the system leadership and supervision, and play an insignificant role in the actual work of leadership and guidance. As a result, the discipline inspection and supervision work of private colleges and universities and its lack of coordination and cooperation with their superior departments, and the problems of lack of supervision and lax accountability exist. The discipline inspection committees of some private colleges even have the tendency of protecting subordinates and cherishing reputation when dealing with the problem of discipline violation.

#### *E. Weak Disciplinary Review*

There will be no direction without a focus. To grasp the key points and carry out precise discipline enforcement, the seriousness and authority of political discipline must be firmly maintained. But now most private colleges and universities do not highlight the political nature in the process of discipline enforcement supervision, and do not view the overall situation and problems from the political perspective, leading to lax discipline, ineffective investigation and punishment, and lax discipline enforcement in these universities and colleges. Therefore, private colleges and universities cannot timely find out and correct the behaviors such as disobeying orders and defying prohibitions, discounting the work and making unreasonable alternatives, which hinder the performance of discipline enforcement supervision in private colleges and universities.

### III. COUNTERMEASURES FOR IMPROVING THE EFFICIENCY OF DISCIPLINE ENFORCEMENT SUPERVISION IN PRIVATE COLLEGES AND UNIVERSITIES

#### *A. Adhering to Regulating Behavior by Rules*

System execution is the fundamental way to avoid abuse of power effectively, regulate the operation of power scientifically, and strengthen the construction of party spirit and anti-corruption in private colleges and universities. In particular, senior leaders of private colleges and universities should ensure the substantial implementation of the supervision and guarantee system and realize the organic combination of the balancing power of supervision and power constraint. In doing so they can work harder to combat corruption and improve party conduct and purify the political environment in private colleges and universities. It's necessary for private colleges and universities to optimize the clue management system and make sure that all the clues of an issue be dealt with in line with the principle of "unified management by one unit". That is, an issue should be dealt with by one unit throughout the process, thus loss of clues and arbitrary disposal of the situation can be prevented. Private colleges and universities should establish internal supervision and restriction mechanism, assign special personnel to manage problem clues, and strictly implement the system of collective consultation and leading examination and approval for important problem clues to prevent the loss of valuable clues. Private colleges and

universities also need to explore the establishment of disciplinary supervision, disciplinary review information exchange mechanism. The discipline enforcement supervision and the discipline enforcement examination department shall inform each other of the situation of the problem clues concluded, and may timely notify and exchange relevant information in the process of carrying out the supervision and inspection of key discipline enforcement and the investigation of major cases, so as to avoid work inefficiency caused by information asymmetry. In order to promote the efficiency of discipline enforcement supervision, private colleges and universities should put the system and mechanism into practice and establish a rational and three-dimensional work pattern, which centers on the work of the party committee and forms an extensive network of discipline inspection and supervision work, so as to carry out the work in a coordinated way.

#### *B. Strengthening the Construction of the Work Force for Discipline Enforcement Supervision*

Against the background of strict party governance in an all-round way, the construction of discipline inspection and supervision teams in private colleges is of great importance. Under the new situation, especially after the reform of the supervision system, new and higher requirements have been put forward for the professional level of the disciplinary supervision team, who must master many skills while specializing in one to better accomplish their work of law and discipline enforcement. In recent years, with various types of disciplinary violation cases gradually showing a trend of high intelligence and high technology, discipline inspection and supervision work is also facing new challenges. Therefore, private colleges and universities should pay attention to the training and education of discipline inspection and supervision cadres regularly, especially the in-depth education of legal knowledge, management knowledge, case handling and investigation procedures, etc., so as to improve their work ability with correct ideological value consciousness. What is also very important is to let them follow the trend of the scientific and technological era, make use of intelligence and big data, do a better job in discipline enforcement supervision, and improve the efficiency of their work in private colleges and universities.

#### *C. Accurately Grasping the Positioning of the Duties of Discipline Enforcement Supervision*

Supervision is the basic responsibility, focusing on the discovery of problems. And discipline enforcement is the core function, focusing on the investigation and handling of problems. The responsibility of the discipline inspection commission of private colleges and universities is to supervise the corruption on campus and within the party and help the construction of party conduct and clean government in colleges and universities so as to do a good job in discipline supervision. Only with strong supervision can problems be found, which requires strict discipline enforcement. In order to clearly carry out the duty of discipline enforcement supervision, the discipline inspection and supervision organs of private colleges and universities

should further transfer their functions, methods and styles, and concentrate on the main job of discipline enforcement supervision. It can be found from the published data that since 2017, the number of people using the first of the four forms for discipline inspection and supervision institutions has increased significantly, from 179,000 in the first quarter of 2017 to 423,000 in the second quarter of 2018.

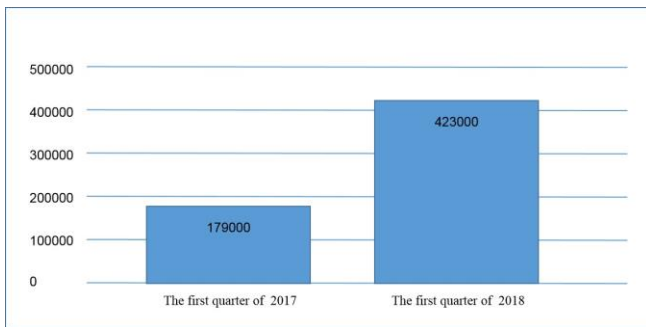


Fig. 1. Number of people who were treated in the first form.

The above shows that it is a trend to strengthen the use of the first form of discipline enforcement supervision (as can be seen from “Fig. 1”). Therefore, the discipline enforcement supervision departments of private colleges and universities should further strengthen supervision and take supervision as the primary and foremost responsibility. In particular, the leading group of private colleges and universities should shoulder the heavy burden bravely in the work of discipline supervision, adhere to the principle of focusing on the key point to form radiation of points to area, and expand the effect from the point to the area with the help of a few key marking, so as to achieve the overall effect.

#### D. Maintaining a Balance of Power and Strengthening the Deterrence of Accountability

Accountability is the key to discipline inspection. If private colleges and universities want to improve the efficiency of disciplines execution and supervision, they should grasp the scale of power and strengthen the deterrent force of accountability. Private colleges and universities should follow the party constitution and take responsibility as the guidance, further compacting the main responsibility system of the party committee and the supervision responsibility of the discipline inspection commission. School discipline inspection and supervision departments should do a good job in special work, such as restoring political ethics, cleaning up discipline and inspection, assessment and evaluation of the main responsibility and so on; attach importance to the orientation of problems and make great efforts to rectify them; further strengthen the party committee's sense of principal responsibility, and focus on the problems of irresponsible act, nonfeasance and willful action; ensure strict accountability, and discipline inspection and supervision personnel who have had a bad impact for their poor performance of their duties will be held accountable so as to realize the full coverage of supervision from problem discovery to discipline implementation and to

accountability. No matter who is involved in a problem that has been verified, they should be resolutely held accountable.

On July 18, 2018, Guangdong provincial commission for discipline inspection announced the case in which, Fu, secretary of the commission of discipline inspection of Guangdong Medical University, was held accountable. There has been a serious corruption case in this university in 2016. Jiang and Zeng, who served as the former party secretary of the university and the former President of the affiliated hospital respectively, were sentenced to fixed-term imprisonment. Zheng, the former deputy party secretary, and Zhao, the former vice president and member of standing committee of the party committee, were removed from their posts and expelled from the party. Other relevant cadres were also subject to varying degrees of party discipline administrative sanctions. In 2018, Fu was given a warning from the party for failing to take responsibility for supervision. After the case was exposed, it aroused wide public attention, and the entire network of media reported and reprinted more than 220 articles about it. The majority of Internet users expressed their support for the CPC central committee and the provincial party committee's decision and deployment of comprehensively strict party governance.

#### E. Strengthening Discipline Review

As of July 2018, 88.1 percent of party members and cadres nationwide who have not been disciplined since the 19th CPC national congress still fail to resign their old business after the 18th CPC national congress. Therefore, it is particularly important to strengthen the discipline inspection. And the process of supervision is the process in which discipline plays its role. The private colleges and universities' disciplinary supervision departments perform their supervisory functions by placing discipline in front of the law-breakers. In order to improve the efficiency of discipline enforcement supervision in private colleges and universities, it is necessary to put discipline in the front, pay special attention to the implementation of discipline in discipline inspection and supervision and take the party's political discipline and the implementation of rules as the core content of discipline review, so as to further improve the self-consciousness of party members and leading cadres at all levels in private colleges and universities to abide by party discipline and regulations. The CPC central committee also attaches great importance to discipline and management. In 2018, it further revised and improved the CPC's discipline regulations, adding 11 articles, revising 65 articles, and integrating 2 articles, which further highlighted the political nature, and promoted comprehensive and strict governance of the party with strict disciplines. As a special organ for inner-party supervision, the discipline inspection organ is duty-bound to strictly enforce political discipline. Discipline inspection organs of private colleges and universities should organize and carry out supervision of discipline and work style, weave a close supervision network, and prevent and control corruption in key links of major matters of private colleges and universities, including enrollment and admission, asset management, scientific research funds management, etc.

#### IV. CONCLUSION

With the promotion of strict party governance, the responsibility and task of discipline inspection and supervision organs in private colleges and universities are more important. Therefore, they should do a good job in discipline inspection and supervision, especially improve the efficiency of discipline enforcement supervision from multiple ways and aspects so as to promote the formation of a good pattern of discipline enforcement supervision, create a clear educational environment for private colleges and universities, and promote the comprehensive, coordinated and sustainable development of private colleges and universities.

#### REFERENCES

- [1] Complete Works of Marx and Engels (volume 34) [M]. Beijing: People's Publishing House, 1995. (in Chinese)
- [2] Complete Works of Lenin (volume 43) [M]. Beijing: People's Publishing House, 1986. (in Chinese)
- [3] Kang Xiaoyu. Clearing up political ethics and disciplines and guarding the pure land [N]. China Discipline Inspection and Supervision Newspaper. 2018-06-14 (in Chinese)
- [4] Zhang Kaibin, Chen Zhongwei, Lan Zhenshi, He Zimei. Strengthening anti-corruption construction and promoting the healthy development of private colleges and universities [J]. Education and Teaching Forum, 2017(02):15-16 (in Chinese)
- [5] Guo Jichao, Shen Beifei. Research on improving discipline supervision efficiency of discipline inspection and supervision institutions in colleges and universities under the background of comprehensively enforcing strict party governance [J]. Theory Research. 2015 (06): 28-32 (in Chinese)
- [6] Zhejiang Lishui discipline inspection commission research group. To grasp the daily supervision with tenacity [N]. China Discipline Inspection and Supervision Newspaper. (in Chinese)
- [7] The Research Office of the Central Commission for Discipline Inspection compiled. Concise reading of basic knowledge of discipline inspection and supervision. Beijing: China Fangzheng Press. 2012(07): 46,163,195 (in Chinese)
- [8] Commentator of China's Discipline Inspection and Supervision Newspaper. Firmly implement the "two responsibilities" — on the implementation of the deployment of the third plenary session of the 18th central commission for discipline inspection [N]. China Discipline Inspection and Supervision Newspaper. 2014-01-20 (in Chinese)
- [9] Lei Ting. A simple understanding and reflection on the full implementation of the supervision responsibility of the discipline inspection organs of state-owned enterprises [J]. Global Market Information Guide. 2016(01): 114-116 (in Chinese)
- [10] Zhao Jiufang. Stick to the position of responsibility and let the supervisor generate power [N]. China Discipline Inspection and Supervision Newspaper. 2018-06-14 (in Chinese)
- [11] Wan Fuyi. Discipline inspection work. Beijing: CPC History Press. 2008(01): 82-87 (in Chinese)