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LETTER TO THE EDITOR

Novel differential algorithm to evaluate all the zeros of any generic polynomial

Francesco Calogero

Physics Department, University of Rome "La Sapienza", Italy Istituto Nazionale di Fisica Nucleare, Sezione di Roma, Italy francesco.calogero@roma1.infn.it, francesco.calogero@uniroma1.it

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A novel, remarkably neat, differential algorithm is introduced, which is suitable to evaluate *all* the zeros of a *generic* polynomial of *arbitrary* degree *N*.

1. Introduction

Recently a new differential algorithm to compute all the zeros of a generic polynomial has been introduced: it involves the solution of an appropriate system of N nonlinearly coupled *first-order* Ordinary Differential Equations (ODEs) [1]. The novel technique described below is analogous, but it instead involves the solution of an appropriate system of N nonlinearly coupled *second-order* ODEs. While this fact might be considered a drawback of the novel system when compared to the previous one [1], the novel technique introduced herein is remarkably neater and therefore worthy of being widely known at least because of its elegance.

2. The task

Let a *generic* (monic) polynomial be defined as follows in terms of its N coefficients c_m and its N zeros x_n :

$$p_N(z) = z^N + \sum_{m=1}^{N} \left(c_m \, z^{N-m} \right) = \prod_{n=1}^{N} \left(z - x_n \right) \,. \tag{2.1}$$

Hereafter we assume that N is an *arbitrary positive* integer N, and that the N coefficients c_m are N, *arbitrarily* assigned, generally *complex*, numbers. The task is to compute the N zeros x_n (all different among themselves: "genericity" of the polynomial (2.1)). As it is well known this task can be generally performed *explicitly* only for N=2, N=3 respectively N=4, via equations involving square, cubic, respectively quartic roots. The N coefficients c_m can instead be expressed in an *explicit* manner in terms of the N zeros x_n : $c_m=(-1)^n$ $\sigma_m(\tilde{x})$, where $\sigma_m(\tilde{x})$ is the symmetrical sum of order m of the N zeros x_n which are the N elements of the *unordered* set \tilde{x} ; but, remarkably, these formulas play no role in the following.

Hereafter indices such as n, m, ℓ run over the *positive integers* from 1 to N, unless otherwise indicated.

3. The algorithm

Consider the dynamical system characterized by the following *N second-order* ODEs (of Newtonian type: "accelerations equal forces")

$$\ddot{\xi}_{n}(t) = \sum_{\ell=1; \ \ell \neq n}^{N} \left[\frac{2 \ \dot{\xi}_{n}(t) \ \dot{\xi}_{\ell}(t)}{\xi_{n}(t) - \xi_{\ell}(t)} \right] , \tag{3.1}$$

which characterizes the motion in the complex ξ -plane of the N points identified by the N coordinates $\xi_n(t)$; here and below superimposed dots indicate of course differentiation with respect to the *real* parameter t ("dimensionless time": the independent variable). This system (3.1) is, in fact, an *integrable Hamiltonian* system, to which the honorary name "goldfish" has been attributed because of the neatness of its equations of motion, which are clearly autonomous and invariant under any arbitrary common translation or rescaling of the dependent variables $\xi_n(t)$ [2].

Next, integrate this system (3.1) of N second-order ODEs from t = 0 to t = 1, starting—at the "initial time" t = 0—from N, arbitrarily assigned, generally complex, N initial values $\xi_n(0)$, with the corresponding initial velocities $\dot{\xi}_n(0)$ given by the following explicit formula in terms of these N initial data $\xi_\ell(0)$ and of the N coefficients c_m of the polynomial (2.1):

$$\dot{\xi}_{n}(0) = -\frac{\left[\xi_{n}(0)\right]^{N} + \sum_{m=1}^{N} \left\{c_{m} \left[\xi_{n}(0)\right]^{N-m}\right\}}{\prod_{\ell=1, \ell\neq n}^{N} \left[\xi_{n}(0) - \xi_{\ell}(0)\right]} = -\frac{p_{N}\left[\xi_{n}(0)\right]}{\prod_{\ell=1, \ell\neq n}^{N} \left[\xi_{n}(0) - \xi_{\ell}(0)\right]}.$$
 (3.2)

There thus obtain the N zeros x_n of the polynomial (2.1):

$$\xi_n(1) = x_n , \quad p_N(x_n) = 0 .$$
 (3.3)

4. The proof

Let the time-dependent monic polynomial

$$P_N(z;t) = z^N + \sum_{m=1}^{N} \left[\gamma_m(t) \ z^{N-m} \right] = \prod_{n=1}^{N} \left[z - \xi_n(t) \right]$$
 (4.1)

be characterized by its N time-dependent coefficients $\gamma_m(t)$ and by its N zeros $\xi_n(t)$. It has been recently shown [3] that the first and second time-derivatives of these quantities are related by the following convenient *identities* (for notational simplicity we omit below to indicate *explicitly* the t-dependence of the various quantities, whenever this omission is unlikely to cause any misunderstanding):

$$\dot{\xi}_n = -\frac{\sum_{m}^{N} \left[\dot{\gamma}_m \ (\xi_n)^{N-m} \right]}{\prod_{\ell=1, \ \ell \neq n}^{N} (\xi_n - \xi_\ell)} \ , \tag{4.2a}$$

$$\ddot{\xi}_{n} = \sum_{\ell=1: \ell \neq n}^{N} \left(\frac{2 \, \dot{\xi}_{n} \, \dot{\xi}_{\ell}}{\xi_{n} - \xi_{\ell}} \right) - \frac{\sum_{m}^{N} \left[\ddot{\gamma}_{m} \, \left(\xi_{n} \right)^{N-m} \right]}{\prod_{\ell=1: \ell \neq n}^{N} \left(\xi_{n} - \xi_{\ell} \right)} \,; \tag{4.2b}$$

while it is plain, see (4.1), that there hold the *N* identities

$$(\xi_n)^N + \sum_{m=1}^N \left[\gamma_m (\xi_n)^{N-m} \right] = 0.$$
 (4.3)

Now make the assignment

$$\gamma_m(t) = \gamma_m(0) + t \left[c_m - \gamma_m(0) \right],$$
 (4.4a)

which is obviously valid at t = 0 and it clearly implies

$$\ddot{\gamma}_m(t) = 0$$
, $\dot{\gamma}_m(t) = c_m - \gamma_m(0)$, $\gamma_m(1) = c_m$. (4.4b)

Clearly the first of these 3 *identities* (4.4b) implies (3.1) via (4.2b); at t = 0, the second of these 3 *identities* (4.4b), via (4.2a) together with (4.3), implies (3.2); and the third of these 3 *identities* (4.4b)—together with the definitions of the polynomials $p_N(z)$ and $P_N(z;t)$ in terms of their coefficients, see (2.1) and (4.1)—implies $p_N(z) = P_N(z;1)$ hence the validity of (3.3).

Remark 4.1. It is plain that the solutions $\xi_n(t)$ of the system (3.1) with arbitrary initial data $\xi_n(0)$ and with the initial velocities (3.2) are finite for all (finite) time—hence in particular for $0 \le t \le 1$ since they are the zeros of the polynomial $P_N(z;t)$, see (4.1), with the coefficients $\gamma_m(t)$ given by the simple prescription (4.4a). The system of nonlinear ODEs (3.1) might however feature a singularity—due to vanishing of the denominator in the right-hand side of (3.1)—for $0 \le t \le 1$ if in that time interval two (or more) of the points $\xi_n(t)$ moving in the complex ξ -plane collide. But clearly the set of N initial data $\xi_n(0)$ causing such collisions has a vanishing measure in the space of all sets of initial data as long as one is working with *complex* numbers (as above recommended); so any eventual collision can be avoided by a slight change of the—a priori arbitrary—assignment of the initial data $\xi_n(0)$. Moreover any eventual collision does not entail a blow-up of the solutions of (3.1)—see above—but merely, after the collision, a "loss of identity" of the colliding points; not very relevant for the evaluation of the zeros x_n of the polynomial (2.1), which have themselves no specific identity being the N elements of the unordered set \tilde{x} , see above. On the other hand a collision of two or more of the moving points $\xi_n(t)$ at t=1 would be unavoidable if the polynomial $p_N(z)$, see (2.1), features one or more multiple zeros. For this reason the treatment in this paper is restricted to generic polynomials which by definition do not feature multiple zeros. A modification of the algorithm introduced in this paper—and of the previous analogous algorithm (see [1])—to make them suitable to deal with polynomials featuring multiple zeros is possible but it requires a separate treatment (for appropriate hints see [4]).

Remark 4.2. Let us re-emphasize the flexibility of the algorithm described above entailed by the *arbitrariness* in the assignment of the N initial data $\xi_n(0)$. It is moreover plain that if any one of these N a priori arbitrary initial data $\xi_n(0)$ were to coincide with one of the zeros x_n of the polynomial $p_N(z)$, see (2.1)—say, $\xi_1(0) = x_1$ —then $\dot{\xi}_1(0) = 0$ would follow (see (3.2)), hence as well $\dot{\xi}_1(t) = 0$ (see (3.1)), implying that the coordinate $\xi_1(t)$ would remain fixed throughout its time evolution from t = 0 to t = 1; and moreover its presence would have no effect at all on the movement of the other points $\xi_n(t)$ with $n \neq 1$ (as clearly implied by (3.1)).

Remark 4.3. The preceding Remark 4.2 and the general character of the algorithm described above suggest that the numerical efficiency of that algorithm is likely to be improved the closer the assignment of the initial data $\xi_n(0)$ are to the (*a priori* unknown) zeros x_n . This suggest the possible advantage of an iterated application of the algorithm, with the outcome of a computational cycle used as input data for the next computational cycle—possibly with an appropriate sequential increase of the precision of the numerical routine employed to integrate from t = 0 to t = 1 the system of N ODEs (3.1).

Remark 4.4. While the preceding **Remark 4.3** provides some potentially useful hints relevant to the applicability of the algorithm described above to actually *compute* the *N* zeros of a given polynomial, clearly a detailed comparison in the context of *numerical analysis* of the merits/demerits of this technique—when compared to other standard *numerical* techniques to compute all the zeros of a given polynomial—is a nontrivial endeavour which exceeds the scope of this Letter and indeed the competence of its author.

Remark 4.5. Any *new* method to evaluate the zeros of a given polynomial is likely to be fruitful independently from its numerical proficiency, as a tool to obtain interesting information over other properties of the zeros of a polynomial than their numerical values. But is the method provided above indeed *new*?

A *cogent* answer to this question is hardly possible, since it would require a detailed scrutiny of the world mathematical literature spanning at least 4 centuries. It seems however reasonable to conjecture that the method presented above is indeed *new*, for the following 3 reasons. (i) A search via the web and by word of mouth had yielded no contrary indication when a somewhat analogous technique was recently introduced [1]. (ii) This state of affairs has persisted after the publication of that technique, which was also advertised to be *new* [1]. (iii) The neatness and elegance of the algorithm presented above suggests that, had it been previously discovered, it is extremely unlikely that it might have been subsequently forgotten.

5. Envoy

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