

## A K Out of K+1 Visual Cryptography Scheme

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**Abstract.** Naor and Shamir proposed an optimal  $(k, k)$  visual cryptography scheme (VCS). Droste extended the  $(k, k)$  scheme to  $(k, n)$  scheme. Based on properties of 0 and 1's permutations of basic matrices, we use basic matrices of the  $(k, k)$  scheme to constrict basic matrices of  $(k, k+1)$  scheme.

### Introduction

An optimal  $(k, k)$  visual cryptography scheme (VCS for short) of binary images was first proposed by Naor and Shamir [1]. Based the scheme, Droste [2] construct a  $(k, n)$  VCS. Blundo et al.[3] proposed a method to sharing gray images by combining the basic matrices of the scheme to share a binary image. Yang et al. [4] found new colored visual secret sharing schemes, one of these schemes based on Droste's  $(k, n)$  VCS; Wang et al. [5] presented a general construction for extended marix of binary image and gray image and color iamge and multi-image visual secret sharing schemes. Shyu et al. [5] used linear programming method two solve the problem of sharing multiple secret iamges.

In this paper, based on Naor and Shamir  $(k, k)$  VCS, we analyze the property of basic matrices and obtain a  $(k, k+1)$  VCS.

### Related Works

A. Naor and Shamir's  $(k, k)$  VCS

**Definition1 [1]:** Let  $B_0$  and  $B_1$  be two  $k \times 2^{k-1}$  Boolean matrices with exactly all the columns of all even or odd number of 1's,so that  $C_0$  and  $C_1$  construct a k out of k visual secret sharing scheme

From the definition we know that  $B_0$  owns a column of all "0"s, but  $B_1$  owns no such a column. So the Hamming weight of the OR of all the rows of  $B_0$  is  $2^{k-1}$  while  $B_1$  is  $(2^{k-1} - 1)$ , then the contrast is fulfilled.

In **Definition1** we know there  $k$  participants and pixel expansion is  $2^{k-1}$ , then, for  $i \in \{0,1,2, \dots, k\}$ , we get the two basic matrices of the k out of k scheme as follows:

$$B_0 = M_k^{0 \circ} M_k^{2 \circ} \dots \circ M_k^j (j = 2 \cdot \lfloor \frac{k}{2} \rfloor) \quad B_1 = M_k^{1 \circ} M_k^{3 \circ} \dots \circ M_k^j (j = 2 \cdot \lfloor \frac{k}{2} \rfloor - 1)$$

Now we give the example of the basic matrices of  $(k, k)$  VCS.

**Example1:** the basic matrices of  $(3,3)$  VCS in **Definition1**.

$$B_0 = M_3^{0 \circ} M_3^2 = \begin{bmatrix} 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{bmatrix} \quad B_1 = M_3^{1 \circ} M_3^3 = \begin{bmatrix} 1 & 0 & 0 & 1 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

Pay attention to  $B_0$  and  $B_1$  in example1, we can see  $B_0$  has a column of all 0's, but  $B_1$  does not, then the contrast is fulfilled.

B. Droste's  $(k, n)$  VCS

**Lemma 1 [2]** Let  $B_0$  and  $B_1$  be two  $n \times m$  matrices with arbitrarily  $k$  out of  $n$  rows own exactly all the columns of all even or odd number of 1's and other  $m - 2^{k-1}$  same columns. Then  $C_0$  and  $C_1$  construct a  $k$  out of  $n$  visual secret sharing scheme

Based on **Lemma 1**, we can construct the basic matrices of  $(k, n)$ VCS with the follow algorithm.

**Algorithm1**

- a) For all  $p \in \{0, 1, \dots, k\}$ , when  $p < k - p$  let  $q = p$ , when  $p > k - p$  let  $q = n - k + p$ . Then when  $p$  is even, add all the columns of  $M_n^p$  to  $B_0$ , when  $p$  is odd add all the columns of  $M_n^p$  to  $B_1$
- b) Select  $k$  rows of  $B_0$  and  $B_1$ , remove all the columns of a  $k$  out  $k$  scheme and the same columns of two matrices. If the rest columns of  $B_0(B_1)$  own  $i$  1's, when  $i < k - p$  let  $q = i$ , when  $i > k - p$  let  $q = n - k + i$ . Add all the columns of  $M_n^i$  to  $B_1(B_0)$
- c) While the rest is not empty repeat 2.

**Example2:** construction of  $(3,4)$  VCS by using **Algorithm1**.

- i. First when  $n=4$  and  $p = \{0, 1, 2, 3\}$  all the matrices of  $M_n^i$  are

$$M_4^0 = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} \quad M_4^1 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad M_4^2 = \begin{bmatrix} 1 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 \end{bmatrix} \quad M_4^3 = \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix} \quad M_4^4 = \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}$$

- ii. Second be sure any  $k$  rows own exactly all the columns of all even or odd number of 1's

- a)  $p = 0$  &  $p < k - p$ , let  $q = p$ , add all the columns of  $M_4^0$  to  $B_0$
- b)  $p = 1$  &  $p < k - p$ , let  $q = p$ , add all the columns of  $M_4^1$  to  $B_1$
- c)  $p = 2$  &  $p > k - p$ , let  $q = n - k + p$ , add all the columns of  $M_4^3$  to  $B_1$
- d)  $p = 3$  &  $p > k - p$ , let  $q = n - k + p$ , add all the columns of  $M_4^4$  to  $B_1$

And then

$$B_0 = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} \circ \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix} \quad B_1 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \circ \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}$$

- iii. Third be sure the rests are empty

For  $B_0$   $i=3$ , add all the columns of  $M_4^4$  to  $B_1$

For  $B_1$   $i=3$ , add all the columns of  $M_4^0$  to  $B_0$

And then we get the two basic matrix as follows:

$$B_0 = \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} \circ \begin{bmatrix} 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 \\ 1 & 0 & 1 & 1 \\ 0 & 1 & 1 & 1 \end{bmatrix} \circ \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} \quad B_1 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \circ \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix} \circ \begin{bmatrix} 1 \\ 1 \\ 1 \\ 1 \end{bmatrix}$$

Pay attention to  $B_0$  and  $B_1$  in example1, we can see any 3 out 4 rows in  $B_0$  has two columns of all 0's, but  $B_1$  has only one, then the contrast is fulfilled.

**Construct Basic Matrices Use Permutation and Combination**

In basic matrices, there are only two elements (0 and 1), so we can consider them as permutations of 0's and 1's. In this case, the two basic matrices of  $(k, k)$ VCS can be expressed as:

$$B_0: \sum_{i=0}^{\lfloor k/2 \rfloor} \binom{k}{2i} \quad B_1: \sum_{i=1}^{\lfloor k/2 \rfloor} \binom{k}{2i-1}$$

Then we can use characteristics of permutation and combination to proof the security of the scheme.

**Proof 1:**

$$B_0 \sum_{i=0}^{\lfloor k/2 \rfloor} \binom{k}{2i} = \sum_{i=0}^{\lfloor (k-1)/2 \rfloor} \binom{k-1}{2i} \binom{1}{0} + \sum_{i=0}^{\lfloor (k-1)/2 \rfloor} \binom{k-1}{2i+1} \binom{1}{1} = \sum_{i=0}^{k-1} \binom{k-1}{i} \binom{1}{1}$$

$$B_1 \sum_{i=0}^{\lfloor k/2 \rfloor} \binom{k}{2i-1} = \sum_{i=0}^{\lfloor (k-1)/2 \rfloor} \binom{k-1}{2i} \binom{1}{1} + \sum_{i=0}^{\lfloor (k-1)/2 \rfloor} \binom{k-1}{2i+1} \binom{1}{0} = \sum_{i=0}^{k-1} \binom{k-1}{i} \binom{1}{1}$$

Where  $\binom{n}{i} \binom{1}{0}$  represents a  $(n+1) \times \binom{n}{i}$  Boolean matrix with rows content all the permutations of 1 1's and  $(n-i)$  0's and a row of all 0's. So that any  $k-1$  rows of  $B_0$  and  $B_1$  have the same columns of the matrix that  $\sum_{i=0}^{k-1} \binom{k-1}{i}$  indecaded, and this certified any  $k-1$  rows of  $B_0$  and  $B_1$  contend the same columns. Then the security of the scheme is guaranteed.

### The Proposed $(k, k+1)$ VCS

In this section, we express the basic matrices of the  $k$  out of  $k$  scheme as permutations of 0's and 1's, then we get that the matrices have the characteristics of permutation and combination. Using these features, we extend Naor and Shamir's  $k$  out of  $k$  scheme to a  $k$  out of  $k+1$  scheme.

**Construction 2:**  $B_0$  and  $B_1$  are the basic matrices of Naor and Shamir's  $k$  out of  $k$  scheme. If  $B_0$  and  $B_1$  do the changes as follows we will get new basic matrices  $B_0'$  and  $B_1'$  which are the basic matrices of a  $k$  out of  $k+1$  scheme (If the number of 0 of the column vectors of the matrix  $B_0$  and  $B_1$  is  $k-p$ , and  $p$  for the number of 1):

- If in a matrix,  $p < k - p$ , add 0 vector in  $k+1$  line and the matrix  $\binom{k}{p-1} \binom{1}{1}$ , then combine  $\binom{k+1}{p-1}$  to the other basic matrix;
- If  $p - 1 \neq 0$ , repeat step a;
- If in a matrix,  $p > k - p$ , add 1 vector in  $k+1$  line and the matrix  $\binom{k}{p+1} \binom{1}{0}$ , then combine  $\binom{k+1}{p+1}$  to the other basic matrix;
- If  $p + 1 \neq k$ , repeat step c.

Because of the features of permutation and combination, the construction has the following form:

**Construction 3:** The basic matrices of  $(k, k)$  VCS are  $B_0$  and  $B_1$ , following the previous construction4.1, we can get the basic matrices of a  $(k, k+1)$  VCS by using combination.

We use result of Lemma 1 to obtain next formulas.

$$B_0: \sum_{i=0}^{\lfloor k/2 \rfloor} \binom{k}{2i} \quad B_1: \sum_{i=1}^{\lfloor k/2 \rfloor} \binom{k}{2i-1}$$

1) *Extended row vector:*

$$B_0: \sum_{i=0}^{\lfloor k/4 \rfloor} \binom{k}{2i} \cdot \binom{1}{0} + \sum_{i=\lfloor k/4 \rfloor + 1}^{\lfloor k/2 \rfloor} \binom{k}{2i} \cdot \binom{1}{1}$$

$$B_1: \sum_{i=1}^{\lfloor k/4 \rfloor} \binom{k}{2i-1} \cdot \binom{1}{0} + \sum_{i=\lfloor k/4 \rfloor + 1}^{\lfloor k/2 \rfloor} \binom{k}{2i-1} \cdot \binom{1}{1}$$

2) *Extended column vector*  $\binom{n-1}{i} + \binom{n-1}{i-1} = \binom{n}{i}$ :

$$B_0: \sum_{i=0}^{\lfloor k/4 \rfloor} \binom{k}{2i} \cdot \binom{1}{0} + \sum_{i=\lfloor k/4 \rfloor + 1}^{\lfloor k/2 \rfloor} \binom{k}{2i} \cdot \binom{1}{1} + \sum_{i=0}^{\lfloor k/4 \rfloor} \binom{k}{2i-1} \cdot \binom{1}{1} + \sum_{i=\lfloor k/4 \rfloor + 1}^{\lfloor k/2 \rfloor} \binom{k}{2i+1} \cdot \binom{1}{0}$$

$$= \sum_{i=0}^{\lfloor k/4 \rfloor} \binom{k+1}{2i} + \sum_{i=\lfloor k/4 \rfloor + 1}^{\lfloor k/2 \rfloor} \binom{k+1}{2i+1}$$

$$\begin{aligned}
B_1: & \sum_{i=1}^{\lfloor k/4 \rfloor} \binom{k}{2i-1} \cdot \binom{1}{0} + \sum_{i=\lfloor k/4 \rfloor+1}^{\lfloor k/2 \rfloor} \binom{k}{2i-1} \cdot \binom{1}{1} + \sum_{i=1}^{\lfloor k/4 \rfloor} \binom{k}{2i-2} \cdot \binom{1}{1} + \sum_{i=\lfloor k/4 \rfloor+1}^{\lfloor k/2 \rfloor} \binom{k}{2i} \cdot \binom{1}{0} \\
& = \sum_{i=1}^{\lfloor k/4 \rfloor} \binom{k+1}{2i-1} + \sum_{i=\lfloor k/4 \rfloor+1}^{\lfloor k/2 \rfloor} \binom{k+1}{2i}
\end{aligned}$$

3) Get the same residual vector:

$$\begin{aligned}
 B_0: & \sum_{i=0}^{\lfloor k/4 \rfloor} \binom{k+1}{2i} + \sum_{i=\lfloor k/4 \rfloor + 1}^{\lfloor k/2 \rfloor} \binom{k+1}{2i+1} \\
 & + \sum_{i=0}^{\lfloor k/4 \rfloor} \sum_{j=1}^i \binom{k+1}{2i-(2j+1)} + \sum_{i=\lfloor k/4 \rfloor + 1}^{\lfloor k/2 \rfloor} \sum_{j=1}^{\min(i, \lfloor \frac{k+1}{2} \rfloor - i)} \binom{k+1}{2i+(2j-1)} \\
 & + \sum_{i=0}^{\lfloor k/4 \rfloor} \sum_{j=1}^i \binom{k+1}{2i-2j} + \sum_{i=\lfloor k/4 \rfloor + 1}^{\lfloor k/2 \rfloor} \sum_{j=1}^{\min(i, \lfloor \frac{k+1}{2} \rfloor - i)} \binom{k+1}{2i+(2j+2)} \\
 B_1: & \sum_{i=1}^{\lfloor k/4 \rfloor} \binom{k+1}{2i-1} + \sum_{i=\lfloor k/4 \rfloor}^{\lfloor k/2 \rfloor} \binom{k+1}{2i} + \sum_{i=0}^{\lfloor k/4 \rfloor} \sum_{j=1}^i \binom{k+1}{2i-(2j+1)} + \sum_{i=\lfloor k/4 \rfloor + 1}^{\lfloor k/2 \rfloor} \sum_{j=1}^{\min(i, \lfloor \frac{k}{2} \rfloor - i)} \binom{k+1}{2i+2j} \\
 & + \sum_{i=0}^{\lfloor k/4 \rfloor} \sum_{j=1}^i \binom{k+1}{2i-(2j-1)} + \sum_{i=\lfloor k/4 \rfloor + 1}^{\lfloor k/2 \rfloor} \sum_{j=1}^{\min(i, \lfloor \frac{k}{2} \rfloor - i)} \binom{k+1}{2i+(2j)}
 \end{aligned}$$

## Experimental Results

The Construction 3 is demonstrated as follows through an examples (4, 5) scheme.

**Example3:** Extend (4,4)VCS to (4,5)VCS

i. Substitute  $k=4$  into the above formula of  $B_0$  and  $B_1$

$$\begin{aligned}
 B_0 = & \sum_{i=0}^{\lfloor 4/4 \rfloor} \binom{4+1}{2i} + \sum_{i=\lfloor 4/4 \rfloor + 1}^{\lfloor 4/2 \rfloor} \binom{4+1}{2i+1} \\
 & + \sum_{i=0}^{\lfloor 4/4 \rfloor} \sum_{j=1}^i \binom{4+1}{2i-(2j)} + \sum_{i=\lfloor 4/4 \rfloor + 1}^{\lfloor 4/2 \rfloor} \sum_{j=1}^{\min(i, \lfloor \frac{4+1}{2} \rfloor - i)} \binom{4+1}{2i+(2j-1)} \\
 & + \sum_{i=0}^{\lfloor 4/4 \rfloor} \sum_{j=1}^i \binom{5}{2i-2j} + \sum_{i=2}^{\lfloor 4/2 \rfloor} \sum_{j=1}^{\min(i, \lfloor \frac{4+1}{2} \rfloor - i)} \binom{5}{2i+(2j+2)} \\
 B_1: & \sum_{i=1}^{\lfloor 4/4 \rfloor} \binom{4+1}{2i-1} + \sum_{i=\lfloor 4/4 \rfloor}^{\lfloor 4/2 \rfloor} \binom{4+1}{2i} + \sum_{i=1}^{\lfloor 4/4 \rfloor} \sum_{j=1}^i \binom{4+1}{2i-(2j+1)} + \sum_{i=\lfloor 4/4 \rfloor + 1}^{\lfloor 4/2 \rfloor} \sum_{j=1}^{\min(i, \lfloor \frac{4+1}{2} \rfloor - i)} \binom{4+1}{2i+2j} \\
 & + \sum_{i=1}^{\lfloor 4/4 \rfloor} \sum_{j=1}^i \binom{4+1}{2i-(2j-1)} + \sum_{i=\lfloor 4/4 \rfloor + 1}^{\lfloor 4/2 \rfloor} \sum_{j=1}^{\min(i, \lfloor \frac{4+1}{2} \rfloor - i)} \binom{4+1}{2i+(2j)}
 \end{aligned}$$

ii. According to values of different  $i$  to obtain corresponding unit of Boolean matrix

$$B_0 = M_5^0 \circ M_5^2 \circ M_5^5 \circ M_5^0 \circ M_5^5 \circ M_5^0 = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 1 & 0 \end{bmatrix}$$

$$B_1 = M_5^1 \circ M_5^4 \circ M_5^1 = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 & 1 & 1 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 1 & 1 & 0 & 1 & 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$

From the experimental results above, the two basic matrices of a (4,5)VCS is the same as Droste's scheme. Further experimental results of pixel expansion of **Construction 3** are listed in the following table I.

Table I Two scheme comparing

Scheme	k=2	k=3	k=4	k=5	k=6	k=7	k=8	k=9
Droste's	3	6	15	30	70	140	315	630
Our	3	6	15	30	70	140	315	630

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