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#### Abstract

Due to the loss of information about image and the interference of noise, blind deconvolution is an ill-posed problem. In this paper, we study this problem based on the algorithm of Krishnan et al.[1], which uses a normalized sparsity measure to solve the problem. By assuming the random high frequency property of the difference between true kernel and intermediate estimated kernel, we add a Gaussian smoothing filtering during sharp image update step. The filtering process can improve robustness of the algorithm. Experimental results show that our algorithm estimates more precise kernel and run fast than Krishnan's original algorithm.


## Introduction

Image blurring is caused by many factors, such as the effect of atmosphere, technology problems of camera, motion, defocus, and so on.

Under supposing of linear position-invariant, a blurred image $B$ can be modeled by the convolution of a blur kernel $k$ with a sharp image $S$, along with the addition of the noise $N$ :
$B=k \otimes S+N$.
$B$ is known and both $k$ and $S$ are unknown. Our goal is to estimate the blur kernel $k$ and sharp image $S$. Since $B$ can be combined by an infinite number of pairs ( $k, S$ ), we should use additional conditions to regular the problem so that we can gain the expected solution.

Recent research in natural image statistics have shown that images obey heavy-tailed distributions in their gradients[2,3]. We can use this prior knowledge to solve the deconvolution problem in gradient domain.

A common method is the MAP estimation, which estimates a pair $(k, S)$ by maximizing the posterior probability:
$(k, S)=\operatorname{argmax} \mathrm{p}(k, S \mid B)$.
We usually use the negative logarithm of (2), that is:
$(k, S)=\operatorname{argmin}-\log p(k, S \mid B)$.
In next section, we give the processing of MAP estimation a simple presentation.

## A simple presentation of MAP estimation

Based on Bayesian probability theory, we have:
posterior $\propto$ likelihood $\times$ prior.
$\mathrm{p}(k, S \mid B) \propto \mathrm{p}(B \mid k, S) \times \mathrm{p}(S) \times \mathrm{p}(k)$.
where we use the factor: $\mathrm{p}(k, S)=\mathrm{p}(S) \times \mathrm{p}(k)$.
so, Eq. 3 can be transformed into:
$(k, S)=\operatorname{argmin}-\log \mathrm{p}(B \mid k, S)-\log \mathrm{p}(S)-\log \mathrm{p}(k)$.
We assume that the gradient $x$ of $S$ obeys the distribution:

$$
\begin{equation*}
\mathrm{p}\left(x_{i}\right)=\beta_{1} \mathrm{e}^{-\beta_{2}\left|x_{i}\right|^{\alpha}} . \tag{7}
\end{equation*}
$$

where $\beta_{1}$ and $\beta_{2}$ are positive. For simple, we assume every pixel gradient shares the same $\beta_{1}$ and $\beta_{2}$, and obeys the independent distribution(i.i.d), So
$\mathrm{p}(\mathrm{S})=\mathrm{p}(x)=\prod_{\mathrm{i}} \beta_{1} \mathrm{e}^{-\beta_{2}\left|x_{i}\right|^{\alpha}}=\beta_{1} \mathrm{e}^{-\beta_{2}\|x\|_{\alpha}^{\alpha}}$.
where we assume the relation between $S$ and $x$ is one to one.
Also, we assume an i.i.d Gaussian noise $N$ with variance $\eta^{2}$, so we have:
$\mathrm{p}(B \mid k, S)=\frac{1}{(\sqrt{2 \pi} \eta)^{n}} \mathrm{e}^{-\frac{\|B-k \otimes S\|_{2}^{2}}{2 \eta^{2}}}$.
Finally, we have the expression about Eq.2:
$(k, S)=\operatorname{argmin} \lambda_{1}\|B-k \otimes S\|_{2}^{2}+\lambda_{2}\|x\|_{\alpha}^{\alpha}+\lambda_{3} \mathrm{G}(k)$.
$\mathrm{G}(k)$ can be $\|k\|_{1}^{1} \cdot \lambda_{1}, \lambda_{2}$ and $\lambda_{3}$ are positive.
Finally, we have the general form:
$(k, S)=\operatorname{argmin} \lambda_{1}\|B-k \otimes S\|_{2}^{2}+\lambda_{2} \mathrm{~J}(S)+\lambda_{3} \mathrm{G}(k)$.
Here, $\mathrm{J}(S)=\|x\|_{\alpha}^{\alpha}=\|\nabla S\|_{\alpha}^{\alpha}$.
For more details, we refer the reader to papers of [4] and [6].
The MAP pair $(k, S)$ should minimize the Eq.11, but as pointed out by Levin et al.[5]and Fergus et al.[3], blurred image usually has a lower cost than sharp image. MAP objective function attempts to minimize all gradients (even large ones), so that we usually obtain a blurred image rather than a sharp image. Therefore, Fergus et al.[3] approximates the full posterior distribution and adopts a variational Bayesian approach based on the algorithm of [7]. Based on the algorithm of [3], Levin et al.[6] develops a $\mathrm{MAP}_{\mathrm{k}}$ estimation that can be optimized easily. But they all are more complex. Krishnan et al.[1] use a normalized sparsity measure that favors sharp image more than blurred image. Although it can not be explained clearly in probability theory, it can be understood in the MAP view yet. Our improvement is based on this algorithm.

## Kernel estimation

From Eq.1, $B$ is known and both $k$ and $S$ are unknown. Our goal is to estimate the blur kernel $k$ and sharp image $S$. As the way of Fergus et al.[3], we deal with the problem in gradient domain. That is:

$$
\begin{equation*}
f_{h} \otimes B=k \otimes\left(f_{h} \otimes S\right)+n_{h}, f_{v} \otimes B=k \otimes\left(f_{v} \otimes S\right)+n_{v} . \tag{12}
\end{equation*}
$$

$\left\{f_{h}, f_{v}\right\}=\left\{[1,-1],[1,-1]^{\mathrm{T}}\right\}$.
We denote $y=\left\{f_{h} \otimes B ; f_{v} \otimes B\right\}, x=\left\{f_{h} \otimes S ; f_{v} \otimes S\right\}$, and $n=\left\{n_{h} ; n_{v}\right\}$, so we have:

$$
\begin{equation*}
y=k \otimes x+n \tag{13}
\end{equation*}
$$

so Eq. 11 is transformed into:

$$
\begin{equation*}
(k, x)=\operatorname{argmin} \lambda_{1}\|y-k \otimes x\|_{2}^{2}+\lambda_{2} \mathrm{~J}(x)+\lambda_{3} \mathrm{G}(k) . \tag{14}
\end{equation*}
$$

Following Krishnan et al.[1], we use the form:

$$
\begin{equation*}
(k, x)=\operatorname{argmin} \frac{\lambda^{\prime}}{2}\|y-k \otimes x\|_{2}^{2}+\frac{\|x\|_{1}}{\|x\|_{2}}+\psi^{\prime}\|k\|_{1}^{1} . \tag{15}
\end{equation*}
$$

$\frac{\|x\|_{1}}{\|x\|_{2}}$ is a normalized sparsity measure that favors sharp image more than blurred image, and $k$ is subjected to that $\sum_{\mathrm{i}} k_{i}=1, k_{i} \geq 0$. For more details, please read the [1]. We use the iterative method to estimate $k$, which alternates between $x$ and $k$ updates. Also we use multi-scale strategy to improve performance of the algorithm, particularly for a large kernel[3].
$x$-Update
We update $x$ by the following expression, when the $k$ is fixed:

$$
\begin{equation*}
x=\operatorname{argmin} \frac{1}{2}\|y-k \bigotimes x\|_{2}^{2}+\frac{\|x\|_{1}}{\lambda^{\prime}\|x\|_{2}} \tag{16}
\end{equation*}
$$

This expression can not be optimized easily, because $\frac{\|x\|_{1}}{\|x\|_{2}}$ is non-convex. However, it can be transformed into a convex $l_{1}$-regularized problem by fixing the $l_{2}$ norm denominator from previous iteration[1]. So we solve the problem:
$x=\operatorname{argmin} \frac{1}{2}\|y-k \otimes x\|_{2}^{2}+\frac{1}{\lambda^{\prime}\left\|x^{\prime}\right\|_{2}}\|x\|_{1}$.
$x^{\prime}$ is fixed in the inner iteration. We use ISTA(iterative shrinkage-thresholding algorithm)[8] in the inner iteration.

## Analysis of ISTA and smooth filtering

In the inner iteration of $x$-update, we have the following procedure:
0 :Require: Observed image $y$, Threshold t , Maximum iterations N , Initial iterate $x^{0}$
1: for $\mathrm{j}=0$ to $\mathrm{N}-1$ do
2: $v=x^{j}-\mathrm{t} K^{\mathrm{T}}\left(K x^{j}-y\right)$
3: $x^{j+1}=\mathrm{S}_{\mathrm{t} \lambda}(v)$
4: end for
5: return: image $x^{N}$
From Eq.17, $\lambda$ is $\frac{1}{\lambda^{\prime}\left\|\mathbf{x}^{\prime}\right\|_{2}}$ not $\lambda^{\prime}\left\|x^{\prime}\right\|_{2}$, and $K$ is the convolution matrix of kernel $k$. We make $\Delta=\mathrm{t} \lambda$, so $t=\frac{\Delta}{\lambda}$. At procedure 0 , we require threshold $\Delta$ instead of $t$. From Eq.17, we have $t=\Delta \lambda^{\prime}\left\|x^{\prime}\right\|_{2}$. S is the soft shrinkage operation, that is:

$$
\begin{equation*}
\mathrm{S}_{\Delta}(v)_{\mathrm{i}}=\max \left(\left|v_{i}\right|-\Delta, 0\right) \operatorname{sign}\left(v_{i}\right) . \tag{18}
\end{equation*}
$$

At procedure 2, we use $K$, which is not the convolution matrix of true kernel. We assume that $K+$ $e k$ is equal to the convolution matrix of true kernel. So, if we use the true kernel, procedure 2 will become:

$$
\begin{equation*}
v=x^{j}-\mathrm{t}(K+e k)^{\mathrm{T}}\left((K+e k) x^{j}-y\right) . \tag{19}
\end{equation*}
$$

Finally, we have:
$v=x^{j}-\mathrm{t} K^{\mathrm{T}}\left(K x^{j}-y\right)+e r r$.
$e r r=-K^{\mathrm{T}} e k x^{j}-e k^{\mathrm{T}}\left((K+e k) x^{j}-y\right)$. We assume that err has the high frequency feature, so we add a Gaussian smoothing filtering $f$ to suppress it. So we should add a procedure after procedure 2 . That is:
$v=f \otimes v$.
By Gaussian smoothing filtering, the robustness of the algorithm is improved for different initialization at kernel $k$.

## k-Update

We update $k$ by the following expression, when the $x$ is fixed:
$k=\operatorname{argmin}\|y-k \otimes x\|_{2}^{2}+\psi\|k\|_{1}^{1}$.
We use IRLS(Iterative Reweighted Least Squares)[9] to deal with the problem in the outer iteration. So Eq. 22 is transformed into:
$k=\operatorname{argmin}\|y-k \otimes x\|_{2}^{2}+\psi \sum_{\mathrm{i}}$ weight $_{\mathrm{i}} k_{i}{ }^{2}$.
weight ${ }_{\mathrm{i}}=\left|k_{i}\right|^{-1}$. When $k_{i}$ is zero, we threshold it.
To solve the Eq.23, we make the derivative of Eq. 23 being zero:
$2 X^{\mathrm{T}}(X k-y)+2 \psi W k=0$.
$W$ is the matrix combined by weight ${ }_{i}$. Finally, we have:

$$
\begin{equation*}
\left(X^{\mathrm{T}} X+\psi W\right) k=X^{\mathrm{T}} y . \tag{25}
\end{equation*}
$$

These equations can be solved by many iterative algorithms, and we use CG(conjugate gradient)[10] to solve it in inner. In the process of solving the problem, we can use $x \otimes \mathrm{~m}=X \mathrm{~m}$, and $x^{r} \otimes \mathrm{~m}=X^{\mathrm{T}} \mathrm{m}$, of which $x^{r}$ is that $x$ rotates $180^{\circ}$ around the center.

## Multi-scale strategy

We use multi-scale strategy to improve performance of the algorithm, particularly for a large kernel. At first, kernel size is $3 \times 3$, increases at each scale and becomes the same size as the original size at the final scale. At the same time, $x$ and $y$ are reduced at the same scale.

In the section experiment, we will consider the different initialization at kernel $k$.

## Image restoration

When the kernel $k$ has been estimated, we can use non-blind deconvolution methods to restore sharp image $S$ from blurred image $B$. we solve the following expression:

$$
\begin{equation*}
S=\operatorname{argmin} \lambda_{1}\|B-k \otimes S\|_{2}^{2}+\left\|\nabla_{h} S\right\|_{\alpha}^{\alpha}+\left\|\nabla_{v} S\right\|_{\alpha}^{\alpha} . \tag{26}
\end{equation*}
$$

We use the method from [2]. This method transforms Eq. 26 into a quadratic form using half-quadratic method[11].

## Experimental results

In this section, we present results' comparing for different initialization of kernel $k$. We use two criterions to evaluate the performance. The grayscale images that we use come from: http://www.wisdom.weizmann.ac.il/~vision/BlindDeblur.html.

## Two criterions

First, SNR(Signal Noise Ratio) is defined as:
SNR $=10 \log _{10} \frac{\|S\|_{2}^{2}}{\|S-\hat{S}\|_{2}^{2}}$.
Where $S$ is the known ground truth image, and $\hat{S}$ is the image that we estimate using the estimated kernel. The value of SNR is the bigger the better.

Second, Error Ratio[5,12] is defined as:
Error Ratio $=\frac{\left\|S-\hat{S}_{\hat{k}}\right\|_{2}^{2}}{\left\|S-\hat{S}_{k}\right\|_{2}^{2}}$.
Where $S$ is the known ground truth image, $\hat{S}_{k}$ is the image that we estimate using the known ground truth kernel $k$, and $\hat{S}_{\hat{k}}$ is the image that we estimate using the estimated kernel $\hat{k}$. The value of Error Ratio is the smaller the better.

## Results

We consider the two different initialization of kernel $k$. The first is [ $1 / 21 / 20 ; 000 ; 000$ ], and the second is $[1 / 91 / 91 / 9 ; 1 / 91 / 91 / 9 ; 1 / 91 / 91 / 9]$.

As shown in Fig.1, we use the image ' 20 ' and the kernel ' 4 ' and ' 8 ' from dataset.


Fig.1. Left: the ground truth image; right-top-left: the $27 \times 27$ ground truth kernel; right-top-right: the $23 \times 23$ ground truth kernel; right-bottom-left: the blurred image of left kernel; right-bottom-right: the blurred image of right kernel.
Fig. 2 shows the results about the $27 \times 27$ kernel.

Table 1 shows the values of SNR, Error Ratio, and elapsed time about the $27 \times 27$ kernel.
Fig. 3 shows the results about the $23 \times 23$ kernel.
Table 2 shows the values of SNR, Error Ratio, and elapsed time about the $23 \times 23$ kernel.


Fig.2. The results of $27 \times 27$ kernel. Left-top: the ground truth image; left-bottom: deblurred image using the ground truth kernel; middle-top: deblurred image using kernel of Krishnan et al. with the first initialization; middle-bottom: deblurred image using our kernel with the first initialization; right-top: deblurred image using kernel of Krishnan et al. with the second initialization; right-bottom: deblurred image using our kernel with the second initialization. Corresponding kernels are shown as insets at the bottom left of each image.

Table 1. Performance comparison on $27 \times 27$ kernel.

| Algorithm | SNR | Error Ratio | Elapsed Times(s) |
| :--- | :--- | :--- | :--- |
| Krishnan's, first initialization | 10.29 | 7.15 | 218.09 |
| Ours, first initialization | 11.53 | 5.37 | 214.17 |
| Krishnan's, second initialization | 8.33 | 11.21 | 222.23 |
| Ours, second initialization | 11.53 | 5.37 | 218.46 |



Fig.3. The results of $23 \times 23$ kernel.
Table 2. Performance comparison on $23 \times 23$ kernel.

| Algorithm | SNR | Error Ratio | Elapsed Time(s) |
| :--- | :--- | :--- | :--- |
| Krishnan's, first initialization | 9.87 | 9.63 | 245.55 |
| Ours, first initialization | 12.39 | 5.40 | 227.19 |
| Krishnan's, second initialization | 10.47 | 8.40 | 236.07 |
| Ours, second initialization | 12.38 | 5.41 | 228.12 |

## Remarks

From Fig. 2 and Table 1, we can see that Krishnan et al. favors the first initialization of kernel. From Fig. 3 and Table 2, we can see that Krishnan et al. favors the second initialization of kernel. However, our algorithm gives almost the same results and gives better performance than Krishnan's algorithm. It means that we improved its robustness. By comparing elapsed times, one can see that ours runs a little fast than Krishnan's algorithm.

Fig. 4 shows the results of a real-data image provided by Fergus et al.[3]. From that one can see that our algorithm gives more robust result than Krishnan's original algorithm with equal parameters.


Fig.4. The results of a real-data image. First: the blurred image; second: deblurred image using kernel of Krishnan et al. with the first initialization; third: deblurred image using our kernel with the first initialization; fourth: deblurred image using kernel of Krishnan et al. with the second initialization; fifth: deblurred image using our kernel with the second initialization.

## Conclusions

In general, Krishnan's algorithm gives a good performance in blind image restoration, but estimation error is inevitable during kernel estimation. By assuming the high frequency property of this error, we improve robustness of Krishnan's algorithm by adding a Gaussian smoothing filtering in sharp image estimation iteration. Experimental results show it is an effective way to guarantee robustness.

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