# The Research on Fault Diagnosis for Gas Recovery of Single Coal Bed Methane well Based on Improved Particle Swarm Optimizing Support Vector Machine

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**Abstract.** As a new type of energy, coal-bed gas plays an important role in the national resource structure. This paper introduce the principle and process of gas recovery of single coal-bed methane well, according to the analysis of faults occurred in the system of gas recovery of single coal-bed methane well, by analyzing the characteristics parameters of gas recovery of single coal bed methane well system, combining with the advantages of support vector machine theory can solve the problems of nonlinear and high dimension. Because of the parameters selection of support vector machine has great influence on fault diagnosis, this article use particle swarm algorithm to optimize the parameters of support vector machine. In order to improve the shortcoming of Particle Swarm Optimization (PSO) algorithm which is easy to fall into local optimal, this article proposed that utilize improved particle swarm optimization support vector machine model for gas recovery of single coal-bed methane well system. The simulation results show that the new diagnosis model has a good fault diagnosis practicality and can be applied to fault diagnosis of single well.

# Introduction

Coal-Bed gas exists in the coal strata by physical adsorption state, in production, through the continuous drainage of the water pump, the pressure of the coal-bed methane[1] drops below adsorption pressure, methane gas can be collected. Through the analysis on the historical data of the coal-bed methane well and the status of coal-bed methane, we find that there are three kinds of typical fault in the single-well field: pumping unit stuck failure, suppress the well failure and nozzle clogging failure. The typical parameters which can reflect the working status of the single well and also can be measured in the field are: fluid level, pipe pressure and gas flow rate.

Researches on fault diagnosis system lasted for a long time, fault diagnosis methods become more sophisticated, the current methods of artificial intelligence include gray theory[2], rough set[3] and support vector machine, artificial intelligence are widely applied to industrial fault diagnosis, also achieved better diagnostic results. The goal of support vector machine[5] is to minimize the structure, support vector machine can solve the small sample problems, which has good generalization ability, but the model parameters has a great influence on the model of support vector machine.

# Support Vector Machine (SVM) Model

Set  $T = \{(x_1, y_1), ..., (x_n, y_n)\} \in (X \times Y)^n$  as the training data, n as the number of sample,  $x_i$  as the feature vector,  $y_i \in \{-1, 1\}$  as the classification of labels.

The linear distinguish function is:  $f(x) = (\omega \cdot x_i) + b$ , The hyper plane equation is:

 $(w \cdot x_i) + b = 0$ , The sample should meet:  $|f(x)| \ge 1$ , The constraint condition is:

 $y_i[(w \cdot x_i) + b] - 1 \ge 0, \quad i = 1, 2, ..., n$ 

For linear separable problem, the process of solving the optimal hyper plane can be transformed into two planning problem:

$$\begin{cases} \min_{\omega,b,\xi_i} \left[ \frac{\|w\|^2}{2} \right] \\ s.t. \quad y_i[(w \cdot x_i) + b] \ge 1, \quad i = 1, 2, ..., n \end{cases}$$
(1)

In the above formula, the greater of the value of C, the more severe of the punishment, the higher complex of the model, the optimization problem can be transformed into the minimum value problem of Lagrange's function:

$$L(w,b,\alpha) = \frac{\|w\|^2}{2} - \sum_{i=1}^n \alpha_i \left\{ y_i \left[ (w \cdot x) + b \right] - 1 + \xi_i \right\}$$
(2)

Seeking partial differential and make it equal to 0, resulting a Convex Quadratic Programming dual problem:

$$\begin{cases} \max(\sum_{i=1}^{n} \alpha_{i} - \frac{1}{2} \sum_{i=1}^{n} \sum_{j=1}^{n} \alpha_{i} \alpha_{j} y_{i} y_{j} (x_{i} \cdot x)) \\ s.t. \quad 0 \le \alpha_{i} \le C, i = 1, ..., n \\ \sum_{i=1}^{n} \alpha_{i} y_{i} = 0 \end{cases}$$
(3)

 $\alpha_i^*$  is the only optimal solution, if the value of  $\alpha_i^*$  is not equal to 0,  $\alpha_i^*$  is the support vector,  $w^* = \sum_{i=1}^n \alpha_i^* y_i x_i$ , the value of  $b^*$  can be achieved by any of support vector substituted into equation  $y_i[(w^* \cdot x_i) + b^*] - 1 = 0$ , finally the linear support vector machine classification decision function is:

$$f(x) = \text{sgn}\{(w \cdot x_i) + b\} = \text{sgn}\left\{\sum_{i=1}^{n} \alpha_i^* y_i(x_i \cdot x) + b^*\right\}$$
(4)

For nonlinear problems, in order to avoid "dimension disaster" problem, using kernel function  $K(x_i, x_j)$  instead of the high-dimensional space of the dot product operation, the nonlinear support vector machine classification decision function is:

$$f(x) = \operatorname{sgn}\{(w \cdot x_i) + b\} = \operatorname{sgn}\left\{\sum_{i=1}^n \alpha_i^* y_i K(x_i \cdot x) + b^*\right\}$$
(5)

According to the experiences, Gauss radial basis function  $K(x_i, x) = exp\left[-\frac{\|x_i - x\|^2}{2\sigma^2}\right]$  is chosen as

the kernel function,  $\sigma^2$  reflects the distribution characteristics of the training samples.

### **Improved Particle Swarm Optimization Algorithm**

The existence of traditional PSO algorithm[6] is easy to fall into local optima, slow convergence and low precision. This paper propose improved PSO algorithm support vector machine, improved PSO[7] as follows:

1) Initialization parameters, the acceleration constants are  $c_1$  and  $c_2$ , the initial population is X(n), the speed of the particle in the population is  $\{v_1, v_2, ..., v_m\}$ , the maximum evolution algebra is *maxgen*.

- 2) Calculate the fitness of each individual particle of population X(t).
- 3) Leaving the individual particles with high fitness, eliminate low fitness.

4) Select  $2k \ (k \le \frac{n}{2})$  particles randomly from the population, speed and location are X and Y, cross calculate its velocity and position:

$$X_1 = \gamma X + (1 - \gamma)Y \tag{6}$$

$$Y_1 = (1 - \gamma)X + \gamma Y \tag{7}$$

 $\gamma$  is a random number between (0,1), X and Y are the parent of the particle velocity and position of a particle,  $X_1$  and  $Y_1$  are the child of the particle velocity and position.

5) Calculate the fitness of individual particles after crossing, if higher than the parent, crossover of particles to replace the parent particle and update the individual extreme  $p_{best}$  and global extreme  $g_{best}$ , the update equation is:

$$v_{ij}(t+1) = \omega_1 \Big[ v_{ij}(t) + c_1 r_1 \Big( p_{best}(t) - x_{ij}(t) \Big) \Big] + \\ \omega_2 c_2 \Big( g_{best} - x_{ij}(t) \Big)$$

$$x_{ij}(t+1) = x_{ij}(t) + v_{ij}(t+1)$$
(8)

 $\omega_1 = 1 - 0.6 * t/max gen$ ;  $\omega_2 = 0.6 * t/max gen$ , *t* is the evolutionary generation, maxgen is the maximum evolution generation, at early time of the algorithm *t* is small, the value of  $\omega_1$  is big, a good play to their ability to search the individual particles, with the increasing number of iterations, the value of  $\omega_2$  becomes larger, and the exchange of information between particles increases, speed up the convergence of the global optimum.

6) Update the velocity and position of each particle obtain the population X(t+1).

7) Determine whether the model meets the optimal fitness or the maximum number of iteration, if satisfied then quit, select the best particle output. Otherwise set t=t+1 and skip 3).

#### **Coal Bed Methane**

The typical parameters which can reflect the working status of the single well and also can be measured in the field are: fluid level, pipe pressure and gas flow rate. The relation between typical fault and parameters trend is shown below:

fault status	parameters' trend	
	fluid level rise up quickly	
pumping unit stuck failure	pipe pressure decrease	
	gas flow rate decrease	
	fluid level rise up quickly	
suppress the well failure	pipe pressure decrease	
	gas flow rate decrease	
	fluid level decrease normal	
nozzle clogging failure	pipe pressure rise	
	gas flow rate decrease	

Table.1 The relation between fault and the parameters

### Experimental data and results

In this paper, the coal-bed methane data from the projects at JinCheng Shanxi province, 180 samples of raw data were collected from the historical data. Which include 60 sample data in a normal condition, 40 sample data in the pumping unit stuck failure status, 40 sample data in the suppress the well failure status, 40 sample data in the nozzle clogging failure status. Each sample is in 4-dimensional vectors, they are: fluid level, pipe pressure, gas flow rate and an output label, the

output of the normal state is 1, the output of the nozzle clogging failure state is 2, the output of the pumping unit stuck failure state is 3, the output of the suppress the well failure state is 4, select 40 sample data in a normal condition, 20 sample data in the pumping unit stuck failure status, 20 sample data in the suppress the well failure status, 20 sample data in the nozzle clogging failure status as training set to training SVM fault diagnosis model, the remaining 80 samples as test samples to verify the diagnosis SVM fault diagnosis model.

In this paper, the improved particle swarm optimization support vector machine fault diagnosis model's specific process is as follows:



Fig.2 Improved particle swarm optimization support vector machine

The parameters of SVM model have great influence on the model, in order to obtain a better fault diagnosis model, optimization method is needed on SVM, this paper use legacy algorithm, PSO and improved PSO SVM model to optimized the model's parameters, the simulation results as below:



Fig.3 Test Set Diagnostic Results Based on Ga-SVM



Fig.5 Test Set Diagnostic Results Based on Improved pso-SVM

Diagnosis for 80 test sample data based on genetic algorithms support vector machine model, particle swarm optimization support vector machine model and improved particle swarm optimization support vector machine model, the rate of the results show in table 2: Table 2 The results of three methods

optimization model	С	σ	rate of Fault diagnosis
GA	0.0933	51.2896	87.5%
PSO	0.0751	43.6258	90.0%
Improved PSO	0.1005	40.6527	92.5%

As we can see from the table, improved particle swarm optimization support vector machine model has a good learning ability and generalization ability.

### Summary

This paper described the principle and process of gas recovery of single coal bed methane well, introduced the key parameters that affect coal-bed methane drainage process, fault diagnosis for single well for coal-bed methane based on improved particle swarm optimization support vector machine model. Experimental results show that fault diagnosis for coal-bed methane based on improved particle swarm optimization support vector machine model not only has a good learning ability and training effect, but also has good generalization ability, it can be effectively applied to practical problems.

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