

# Research on Decision-making Model for Maintenance Mode Risks of Equipment Components within Task Cycle

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**Abstract**—To develop scientific and reasonable maintenance decision-making scheme for equipment during task execution, select a suitable maintenance mode for components, control and reduce the risk of equipment failure to the maximum, this paper analyzes the basic types of maintenance mode for equipment components within task cycle, as well as their influence on the risk of equipment failure. Taking the risk, probability, consequence and detectability of failure as the decision-making indicators, the logical judgment method is employed to create the logical judgment diagram of decision-making risks for maintenance mode of equipment components within task cycle. If any maintenance mode cannot be judged through logical judgment diagram, the safety, task and economic risks are taken as the decision-making indicators, and the fuzzy theory and analytic network process are combined to build a decision-making model for maintenance mode risks of equipment based on analytic network process, so as to introduce the decision-making method for optimal maintenance mode.

**Keywords**—task cycle; maintenance mode; risks; decision-making

## I. INTRODUCTION

Decision-making on maintenance risks has an aim to select the maintenance mode reasonably for avoiding the risk of equipment failure or reducing it to the acceptable level. First, determining the basic types of maintenance mode and their influence on the risk of equipment failure is the premise of decision-making on maintenance mode [1-4]. Now, the logical judgment in decision-making on maintenance mode is mainly applied in the reliability-based maintenance analysis, but it does not cover all risk factors and places much emphasis on economy in the analysis, so it is not suitable for the decision-making on task-based maintenance mode. Hence, failure risk and its factors (failure probability, consequence, detectability and maintenance difficulty) are taken as the decision-making indicators to create the logical judgment diagram for the risks of decision-making on maintenance mode of equipment components within task cycle. If any maintenance mode cannot be judged through the logical judgment diagram for risks, it is necessary to analyze the features of the maintenance mode and take the safety, task and economic risks as the decision-making indicators. Maintenance mode[5,6] involves a multiple attribute choice, so the decision-making indicators are interconnected with each other. The evaluation features fuzzy

language, so the fuzzy theory is utilized with analytic network process to build a decision-making model for risks of equipment maintenance mode based on fuzzy analytic network process, so as to select the optimal maintenance mode.

## II. INFLUENCE OF MAINTENANCE MODE ON FAILURE RISK

Based on the features of equipment maintenance guarantee within task cycle, the maintenance modes for equipment components within task cycle include post-event maintenance, state-based maintenance, preventive periodic maintenance, preventive periodic replacement and improvement maintenance. Through the analysis on measurement in the evaluation of failure risk, the main indicators of failure risk evaluation include probability of failure occurrence, detectability of failure and influence degree of consequences [7]. Among them, the influence degree of failure consequences involves the influence degree of safety, task and economic consequences. Hence, the failure risks can be classified into safety, task and economic risks based on the influence of failure consequences. Above all, the failure risk evaluation indicator system of equipment within task cycle as presented in Fig. 1.

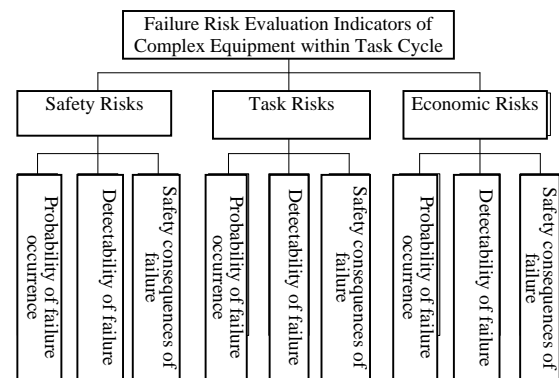


FIGURE I. FAILURE RISK EVALUATION INDICATOR SYSTEM OF EQUIPMENT WITHIN TASK CYCLE

The decision-making on maintenance mode for risks aims to select a maintenance mode reasonably for avoiding the risk of equipment failure or reducing it to the acceptable level. Therefore, it is necessary to analyze the influence of each maintenance mode on avoiding or lowering equipment failure risk.

TABLE I. ANALYSIS ON INFLUENCE OF MAINTENANCE MODES ON EQUIPMENT FAILURE RISK

No.	Maintenance Mode	Time	Probability of Occurrence	Severity of Consequence	Detectability	Failure Risk	Applicable
1	Post-event maintenance	After failure occurs	No influence	No influence	No influence	No influence	Equipment maintenance for failure with less severe consequences and low risk level
2	Periodic maintenance	At fixed intervals	Reduce	No influence	No influence	Reduce	Equipment maintenance for failure with common probability of occurrence & severity of consequences and moderate risk level
3	Periodic replacement	Within fixed periods	Reduce considerably	No influence	No influence	Reduce	Equipment maintenance for failure with common probability of occurrence and severity of consequences and moderate risk level, but high maintenance cost
4	State-based maintenance	condition of sensor and monitoring & diagnosis technology	Reduce considerably	No influence	Increase	Reduce	Equipment maintenance for failure with high frequency of occurrence, high severity of consequences, high risk level, and high maintenance cost
5	Improvement maintenance	Preparation period for task	Reduce considerably	Reduce considerably	Increase considerably	Reduce considerably	Equipment maintenance for failure with very high frequency of occurrence or unbearable consequences, and very high risk level

III. DECISION-MAKING IDEA FOR RISKS OF MAINTENANCE MODE OF EQUIPMENT COMPONENTS WITHIN TASK CYCLE

The decision-making idea for risks of maintenance mode of equipment components within task cycle is as follows: first, analyze the influence of maintenance mode on the risk of equipment failure, and employ the logical judgment method to decide the maintenance mode; second, employ the fuzzy analytic network process to decide the maintenance mode for components if their maintenance mode cannot be determined through logical judgment. The specific idea is shown in Fig. 2.

The logical judgment is simple to implement, and able to gain the correct conclusion within a short period. Based on the analysis of the influence of maintenance mode on equipment failure risk in Table 1, the logical judgment diagram for decision-making risks of maintenance mode of equipment components within task cycle is designed by referring to the reliability-based logical judgment diagram with an aim to decide the selection of maintenance mode.

Analytic network process matches with the thinking habits of human while making a decision, and effectively deals with the issue involving no structure or semi-structure, so it is one of the commonest methods in the field of multiple attribute decision-making. If the maintenance mode of any component cannot be judged through logical judgment, it can take safety, task and economic risks as the decision-making indicators, so it is a typical multiple attribute decision-making issue. Decision-making indicators are interconnected with each other, and the evaluation features fuzzy language. Hence, fuzzy theory is combined with analytic network process to build a decision-making model for maintenance mode risks of equipment components within task cycle.

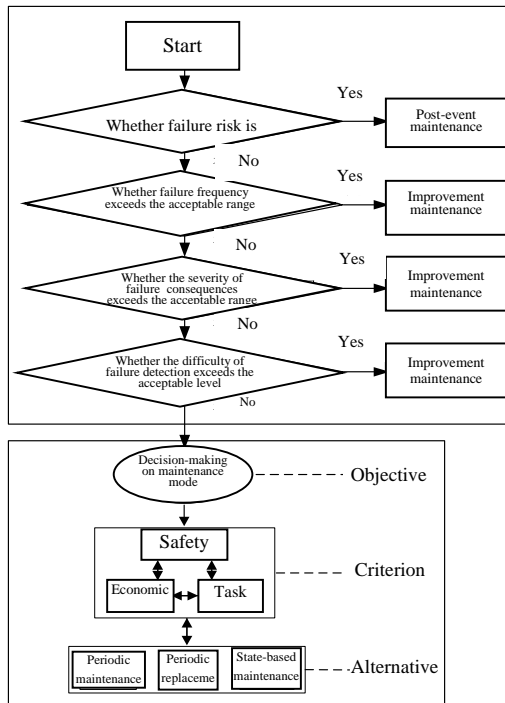


FIGURE II. LOGICAL JUDGMENT DIAGRAM FOR DECISION-MAKING RISKS OF MAINTENANCE MODE OF EQUIPMENT COMPONENTS WITHIN TASK CYCLE

IV. RISK MODEL FOR MAINTENANCE MODE OF EQUIPMENT COMPONENTS WITHIN TASK CYCLE

A. Risk Model for Maintenance Mode of Equipment Components within Task Cycle Based on Logical Judgment

The logical judgment diagram for decision-making risks of maintenance mode of equipment components within task cycle is employed to make a judgment. For components with low failure risk, post-event maintenance is implemented. For components with high probability of failure occurrence, improvement maintenance is implemented. For components with high severity of failure, improvement maintenance is implemented. For high difficulty of failure detection, improvement maintenance is implemented. The maintenance for other components will be further judged.

**B. Risk Model for Maintenance Mode of Equipment Components within Task Cycle Based on Fuzzy Analytic Network Process**

If the maintenance mode of any component cannot be determined through logical judgment, we take the safety, task and economic risks as the decision-making criteria, take three maintenance modes including preventive periodic maintenance, preventive periodic replacement and state-based maintenance as the alternatives, and take the optimal maintenance mode as the decision-making objective, so as to build a risk model for maintenance mode of equipment components within task cycle based on fuzzy analytic network process. To be specific, it contains 7 steps as follows:

1) *Construct the fuzzy superiority matrix of maintenance mode based on risk evaluation indicators:* Through the pairwise comparison of preventive periodic maintenance, preventive periodic replacement and state-based maintenance based on decision-making indicators, the superiority matrix of each maintenance mode is obtained, i.e.  $\bar{F}_s = (\tilde{x}_{ij})^s_{3 \times 3}$ ,  $\bar{F}_T = (\tilde{x}_{ij})^T_{3 \times 3}$  and  $\bar{F}_c = (\tilde{x}_{ij})^c_{3 \times 3}$ . The corresponding relations in the conversion of fuzzy language and fuzzy numbers are presented in Table 2.

TABLE II. CONVERSION OF FUZZY NUMBERS FOR MAINTENANCE MODE SUPERIORITY BASED ON RISK EVALUATION INDICATORS

No.	Fuzzy Language	Triangular Fuzzy
1	Equally superior	(1,1,1)
2	Basically equally superior	(1/2,1,3/2)
3	Slightly superior	(1,3/2,2)
4	Superior	(3/2,2,5/2)
5	Much superior	(2,5/2,3)
6	Very much superior	(5/2,3,7/2)

$$\bar{F} = (\tilde{x}_{ij})_{3 \times 3} = \begin{bmatrix} (1,1,1) & (x_{12}, y_{12}, z_{12}) & (x_{13}, y_{13}, z_{13}) \\ (x_{21}, y_{21}, z_{21}) & (1,1,1) & (x_{23}, y_{23}, z_{23}) \\ (x_{31}, y_{31}, z_{31}) & (x_{32}, y_{32}, z_{32}) & (1,1,1) \end{bmatrix} \quad (1)$$

2) *Construct a fuzzy weight matrix of risk evaluation indicators:* Through asking the experts about the importance of safety risk, task risk and economic risk through pairwise comparison, the weight matrix of risk evaluation indicators is obtained, i.e.  $\bar{F}_{PW} = (\tilde{x}_{ij})^{PW}_{3 \times 3}$ . The corresponding relations in the conversion of fuzzy language and fuzzy numbers are as presented in Table 2.

3) *Construct a fuzzy relational matrix of risk evaluation indicators for maintenance mode:* By comparing the relations among safety, task and economic risks of maintenance mode pairwise, the modified weight matrix of risk evaluation indicators for maintenance mode is obtained, i.e.  $\bar{F}_{TC} = (\tilde{x}_{ij})^{TC}_{3 \times 3}$ ,  $\bar{F}_{EC} = (\tilde{x}_{ij})^{EC}_{3 \times 3}$  and  $\bar{F}_{CC} = (\tilde{x}_{ij})^{CC}_{3 \times 3}$ . The corresponding relations in the conversion of fuzzy language and fuzzy numbers are presented in Table 3.

TABLE III. TABLE 3 CONVERSION OF FUZZY NUMBERS FOR MODIFIED WEIGHTS OF RISK EVALUATION INDICATORS

No.	Fuzzy Language	Triangular Fuzzy
1	Absolutely Superior	(5/2,3,7/2)
2	Extremely Superior	(3/2,2,5/2)
3	Much Superior	(1,3/2,2)
4	Slightly Superior	(1/2,1,3/2)
5	Superior	(1/2,2/3,1)
6	Not Much Superior	(2/5,1/2,2/3)
7	Not Superior	(2/7,1/3,2/5)

4) *Convert fuzzy superiority matrix into numerical superiority matrix:*

The following calculation is employed to convert fuzzy superiority matrix into numerical superiority matrix:

$$\Phi_i = \frac{D(\tilde{H}_i \geq \tilde{H}_j | j=1,2,3, j \neq i)}{\sum_{k=1}^3 P(\tilde{H}_k \geq \tilde{H}_j | j=1,2,3, j \neq k)} \quad (2)$$

$$\tilde{H}_i = (\gamma_i, \alpha_i, \beta_i) = \sum_{j=1}^3 x_{ij} \otimes \left[ \sum_{k=1}^3 \sum_{j=1}^3 x_{kj} \right]^{-1} \quad (3)$$

$$P(\tilde{H}_i \geq \tilde{H}_j) = \sup_{y \geq x} [\min(\tilde{H}_i(x), \tilde{H}_j(y))] \quad (4)$$

$$P(\tilde{H}_i \geq \tilde{H}_j | j=1,2,3, j \neq i) = \min_{j=(1,2,3) \neq i} [D(\tilde{H}_i \geq \tilde{H}_j)], \quad i=1,2,\dots,n \quad (5)$$

$$D(\tilde{H}_i \geq \tilde{H}_j) = \begin{cases} 1, & \alpha_i \geq \alpha_j \\ \frac{\gamma_j - \beta_i}{(\alpha_i - \beta_i) - (\alpha_j - \gamma_j)}, & \alpha_i < \alpha_j, \gamma_j \leq \beta_i, j=1,2,3, j \neq i \\ 0, & \text{others} \end{cases} \quad (6)$$

5) *Construct an un-weighted super-matrix:*

Based on the calculation of analytic network process, the un-weighted super-matrix is obtained as follows:

$$\Phi = \begin{bmatrix} 0 & \Phi_{12} & 0 \\ \Phi_{21} & \Phi_{22} & \Phi_{23} \\ 0 & 0 & 0 \end{bmatrix} \quad (7)$$

In which,  $\Phi_{12}$  is a 3×3 matrix representing the weight of maintenance mode relative to risk evaluation indicators.  $\Phi_{21}$  is a 3×3 matrix representing the weight of risk evaluation indicator relative to maintenance mode.  $\Phi_{22}$  is a 3×3 matrix representing the mutual influence of risk evaluation indicators.  $\Phi_{23}$  represents the weight of risk evaluation indicator relative to objective.

6) Construct a weighted super-matrix:

The eigenvector of each superiority matrix is calculated and normalized to obtain the weighted super-matrix:

$$W = \begin{bmatrix} 0 & W_{12} & 0 \\ W_{21} & W_{22} & W_{23} \\ 0 & 0 & 0 \end{bmatrix} \quad (8)$$

In which,  $W_{12}$  is the eigenvector of  $\Phi_{12}$ ,  $W_{21}$  is the eigenvector of  $\Phi_{21}$ , and  $W_{22}$  is the eigenvector of  $\Phi_{22}$ .

7) Construct an extreme super-matrix: The calculation of power method is employed to obtain the extreme super-matrix. In the extreme super-matrix, the maintenance mode with the highest weight is the optimal maintenance mode.

V. CASE ANALYSIS

Taking the fuel injector of fuel system in a diesel engine as an example, a model is built. The Delphi method is utilized to obtain the comparison matrix of maintenance mode based on decision-making indicators, the comparison matrix of decision-making indicators in terms of weight, and the superiority of risk evaluation indicators for each maintenance mode, through asking experts for opinions. results are shown in Table 4-10:

TABLE IV. SUPERIORITY OF MAINTENANCE MODE BY TAKING SAFETY RISK INDICATORS AS CRITERION

Safety				
Strategy	CM	TBM	OCM	Superiority
TBC	(1, 1, 1)	(2/3, 1, 2)	(1/2, 2/3, 1)	0.2916
TBR	(2/3, 1, 2)	(1, 1, 1)	(1/2, 1, 3/2)	0.3884
CBM	(1, 3/2, 2)	(1/2, 1, 3/2)	(1, 1, 1)	0.3200

TABLE V. SUPERIORITY OF MAINTENANCE MODE BY TAKING TASK RISK INDICATORS AS CRITERION

Task				
Strategy	CM	TBM	OCM	Superiority
TBC	(1, 1, 1)	(2/5, 1/2, 2/3)	(1/2, 2/3, 1)	0.0512
TBR	(1/2, 2/3, 1)	(1, 1, 1)	(1, 3/2, 2)	0.6091
CBM	(1, 3/2, 2)	(3/2, 2, 5/2)	(1, 1, 1)	0.3397

TABLE VI. SUPERIORITY OF MAINTENANCE MODE BY TAKING ECONOMIC RISK INDICATORS AS CRITERION

Economic				
Strategy	CM	TBM	OCM	Superiority
TBC	(1, 1, 1)	(2/5, 1/2, 2/3)	(2/5, 1/2, 2/3)	0.2161
TBR	(3/2, 2, 5/2)	(1, 1, 1)	(3/2, 2, 5/2)	0.6046
CBM	(2/3, 1, 2)	(1/2, 1, 3/2)	(1, 1, 1)	0.1793

TABLE VII. SUPERIORITY OF RISK EVALUATION INDICATORS

Decision-making Objective				
Criterion	Safety	Task	Economic	Superiority
Safety	(1, 1, 1)	(3/2, 2, 5/2)	(1/2, 1, 3/2)	0.4722
Task	(1/2, 1, 3/2)	(1, 1, 1)	(2/5, 1/2, 2/3)	0.4289
Economic	(2/5, 1/2, 2/3)	(3/2, 2, 5/2)	(1, 1, 1)	0.0989

TABLE VIII. SUPERIORITY OF PERIODICAL MAINTENANCE MODE UNDER DIFFERENT CRITERIA

TBC				
Criterion	Safety	Task	Economic	Superiority
Safety	(1, 1, 1)	(2/3, 1, 2)	(1/2, 1, 3/2)	0.312
Task	(2/7, 1/3, 2/5)	(1, 1, 1)	(2/7, 1/3, 2/5)	0.337
Economic	(5/2, 3, 7/2)	(5/2, 3, 7/2)	(1, 1, 1)	0.351

TABLE IX. SUPERIORITY OF PERIODICAL REPLACEMENT MODE UNDER DIFFERENT CRITERIA

TBR				
Criterion	Safety	Task	Economic	Superiority
Safety	(1, 1, 1)	(1/2, 1, 3/2)	(1, 3/2, 2)	0.398
Task	(2/7, 1/3, 2/5)	(1, 1, 1)	(5/2, 3, 7/2)	0.425
Economic	(1/2, 2/3, 1)	(2/3, 1, 2)	(1, 1, 1)	0.177

TABLE X. SUPERIORITY OF STATE-BASED MAINTENANCE MODE UNDER DIFFERENT CRITERIA

OBM				
Criterion	Safety	Task	Economic	Superiority
Safety	(1, 1, 1)	(2/5, 1/2, 2/3)	(2/3, 1, 2)	0.507
Task	(1/2, 1, 3/2)	(1, 1, 1)	(1/2, 2/3, 1)	0.302
Economic	(1, 3/2, 2)	(3/2, 2, 5/2)	(1, 1, 1)	0.191

The un-weighted super-matrix is calculated as presented in Table 11.

TABLE XI. UN-WEIGHTED SUPER-MATRIX OF DECISION-MAKING ON MAINTENANCE MODE

Un-weighted Super-matrix		Strategy			Criterion			Objective
		TBC	TBM	OBM	Safety	Availability	Cost	
Strategy	TBC	0.0000	0.0000	0.0000	0.3120	0.3370	0.3510	0.0000
	TBM	0.0000	0.0000	0.0000	0.3980	0.4250	0.1770	0.0000
	OBM	0.0000	0.0000	0.0000	0.5070	0.3020	0.1910	0.0000
Criterion	Safety	0.2916	0.3884	0.3200	0.0000	0.5000	0.5000	0.4722
	Availability	0.0512	0.6091	0.3397	0.5000	0.0000	0.5000	0.4289
	Cost	0.2161	0.6046	0.1793	0.5000	0.5000	0.0000	0.0989
Objective			0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

The calculation results of weighted super-matrix are presented in Table 12.

TABLE XII. WEIGHTED SUPER-MATRIX OF DECISION-MAKING ON MAINTENANCE MODE

weighted Super-matrix		Strategy			Criterion			Objective
		TBC	TBM	OBM	Safety	Availability	Cost	
Strategy	TBC	0.0000	0.0000	0.0000	0.1348	0.04755	0.07245	0.0000
	TBM	0.0000	0.0000	0.0000	0.1857	0.27078	0.31705	0.0000
	OBM	0.0000	0.0000	0.0000	0.1776	0.16264	0.11440	0.0000
Criterion	Safety	0.0000	0.3481	0.2523	0.0000	0.25000	0.25000	0.4722
	Availability	0.0000	0.6720	0.2581	0.2500	0.00000	0.25000	0.4289
	Cost	1.0000	0.0000	0.5107	0.2500	0.25000	0.00000	0.0989
Objective		0.0000	0.0000	0.0000	0.0000	0.00000	0.00000	0.0000

The extreme super-matrix is constructed as presented in Table 13.

TABLE XIII. EXTREME SUPER-MATRIX OF DECISION-MAKING ON MAINTENANCE MODE

Extreme Super-matrix		Strategy			Criterion			Objective
		TBC	TBM	OBM	Safety	Availability	Cost	
Strategy	TBC	0.0576	0.0576	0.0576	0.0576	0.0576	0.0576	0.0576
	TBM	0.1636	0.1636	0.1636	0.1636	0.1636	0.1636	0.1636
	OBM	0.1013	0.1013	0.1013	0.1013	0.1013	0.1013	0.1013
Criterion	Safety	0.2042	0.2042	0.2042	0.2042	0.2042	0.2042	0.2042
	Availability	0.2318	0.2318	0.2318	0.2318	0.2318	0.2318	0.2318
	Cost	0.2415	0.2415	0.2415	0.2415	0.2415	0.2415	0.2415
Objective		0.0000	0.0000	0.0000	0.0000	0.0000	0.0000	0.0000

Based on the calculation results in Table 13, it is obvious that fuel injector has the largest superiority through periodic replacement, i.e.  $\frac{0.1636}{0.0576+0.1636+0.1013} = 0.507$ , so the optimal maintenance mode of fuel injector is periodic replacement.

## VI. SUMMARY

This paper analyzes the basic types of maintenance mode for equipment components within task cycle, and the influence of maintenance modes on the risk of equipment failure. By taking the failure risk and its factors as the decision-making indicators, the logical judgment method is utilized to create the logical judgment diagram for decision-making risks of maintenance mode for equipment components within task cycle. Based on the applicability of each

maintenance mode, the equipment applicable to post-event maintenance mode and improvement maintenance mode are selected. If any maintenance mode cannot be judged through logical judgment diagram, safety, task and economic risks are taken as the decision-making indicators, and fuzzy theory is combined with analytic network process to build a decision-making model for the risks of maintenance mode for equipment based on fuzzy analytic network process, and provide the method for deciding the optimal maintenance mode. This achievement will provide the support for decision-making in the preparation of equipment maintenance plan before execution of task, and help select the optimal maintenance mode to lower the failure risk and improve the task completion rate of equipment.

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