

# NSD Total Choosability of Planar Graphs with Girth at Least Four

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**Abstract**—A proper total  $k$ -coloring  $\phi$  of a graph  $G$  is a mapping from  $V(G) \cup E(G)$  to  $\{1, \dots, k\}$  such that no adjacent or incident elements in  $V(G) \cup E(G)$  receive the same color. Let  $\Sigma_\phi(u)$  denote the sum of the colors on the edges incident with the vertex  $u$  and the color on  $u$ . A proper total  $k$ -coloring of  $G$  is called neighbor sum distinguishing if  $\Sigma_\phi(u) \neq \Sigma_\phi(v)$  for each edge  $uv \in E(G)$ . Let  $L_z$  ( $z \in V(G) \cup E(G)$ ) be a set of lists of integer numbers, each of size  $k$ . The smallest  $k$  for which for any specified collection of such lists, there exists a neighbor sum distinguishing total coloring using colors from  $L_z$  for each  $z \in V(G) \cup E(G)$  is called the neighbor sum distinguishing total choosability of  $G$ , and denoted by  $ch_\Sigma^T(G)$ . In this paper, we prove that  $ch_\Sigma^T(G) \leq \Delta(G) + 3$  for planar graphs with girth at least 4. This implies that Pilsniak and Wozniak' conjecture is true for any planar graphs with girth at least 4 and  $\Delta(G) \geq 7$ .

**Keywords**—NSD total coloring; choosability; girth; planar graph

## I. INTRODUCTION

The terminology and notation used but undefined in this paper can be found in [3]. Graphs considered in this paper are finite, simple and undirected. Let  $G = (V, E)$  be a graph. We use  $V(G)$ ,  $E(G)$ ,  $\Delta(G)$  and  $\delta(G)$  to denote the vertex set, edge set, maximum degree and minimum degree of  $G$ , respectively. Let  $d_G(v)$  or simply  $d(v)$  denote the degree of a vertex  $v$  in  $G$ . A vertex  $v$  is called an  $l$ -vertex if  $d(v) = l$ , similarly, an  $l^+$ -vertex or an  $l^-$ -vertex if  $d(v) \geq l$  or  $d(v) \leq l$ . Let  $d_i(v)$  ( $d_{i^+}(v)$ ,  $d_{i^-}(v)$ ) be the number of neighbors of  $v$  with degree  $i$  (at least  $i$ , at most  $i$ ) in  $G$ . A  $k$ -face is a face of degree  $k$ .

Given a graph  $G = (V, E)$  and a positive integer  $k$ , a total  $k$ -coloring of  $G$  is a proper coloring  $\phi : V(G) \cup E(G) \rightarrow \{1, \dots, k\}$ , where a proper coloring

means every pair of adjacent or incident elements receive different numbers. Given a total  $k$ -coloring  $\phi$  of  $G$ , let  $C_\phi(u)$  denote the set of colors of the edges incident to  $v$  and the color of  $v$ . A total  $k$ -coloring is called adjacent vertex distinguishing if for each edge  $uv$ ,  $C_\phi(u)$  is different from  $C_\phi(v)$ . A smallest such  $k$  is called the adjacent vertex distinguishing total chromatic number of  $G$ , denoted by  $\chi_a^T(G)$ . Zhang *et al.* [8] put forward the following conjecture.

**Conjecture 1.1**<sup>[8]</sup> For any graph  $G$  with at least two vertices,  $\chi_a^T(G) \leq \Delta(G) + 3$ .

Conjecture 1.1 has been proved for a few special cases, such as subcubic graphs,  $K_4$ -minor free graphs and some special planar graphs, see [2,6,7]. Recently, colorings and labellings related to sums of the colors have been studied widely, see the survey paper [1]. In a total  $k$ -coloring of  $G$ , let  $\Sigma_\phi(v)$  denote the sum of colors of the edges incident to  $v$  and the color of  $v$ . If for each edge  $uv \in E(G)$ , we have  $\Sigma_\phi(u) \neq \Sigma_\phi(v)$ , we call such total  $k$ -coloring a  $k$ -neighbor sum distinguishing total coloring. The smallest number  $k$  is called the neighbor sum distinguishing total chromatic number of  $G$ , denoted by  $\chi_\Sigma^T(G)$ . For neighbor sum distinguishing total colorings, we give the following conjecture due to Pilsniak and Wozniak<sup>[5]</sup>.

**Conjecture 1.2**<sup>[5]</sup> For any graph  $G$  with at least two vertices,  $\chi_\Sigma^T(G) \leq \Delta(G) + 3$ .

Conjecture 1.2 implies Conjecture 1.1, since it is easy to check that  $\chi_a^T(G) \leq \chi_\Sigma^T(G)$ . Pilsniak and Wozniak<sup>[5]</sup> proved that Conjecture 1.2 holds for complete graphs, cycles, bipartite graphs and subcubic graphs. For a given graph  $G$ , let  $L_z$  ( $z \in V(G) \cup E(G)$ ) be any set of list of integer numbers, each of size  $k$ . If for any specified collection of such lists, there exists a neighbor sum distinguishing total coloring of  $G$  using colors from  $L_z$  for each  $z \in V(G) \cup E(G)$ ,

we call such coloring a  $k$ -neighbor sum distinguishing list total coloring, the smallest  $k$  is called the neighbor sum distinguishing total choosability of  $G$ , and denoted by  $ch_{\Sigma}^T(G)$ . In this paper, we studied the neighbor sum distinguishing total choosability of planar graphs with girth at least 4 and proved the following result.

**Theorem 1.1** If  $G$  is a planar graph with girth at least 4 and  $\Delta(G) \geq 7$ , then  $ch_{\Sigma}^T(G) \leq \Delta(G) + 3$ .

Clearly,  $\chi_{\Sigma}^T(G) \leq ch_{\Sigma}^T(G)$ , so the result above holds also for  $\chi_{\Sigma}^T(G)$ . This implies that Pilsniak and Wozniak' conjecture is true for planar graphs with girth at least 4 and  $\Delta(G) \geq 7$ . Our approach is based on the discharging method and some other tricks, which have been widely used in coloring theory.

## II. PROOF OF THEOREM 1.1

In order to prove the main result, we need next lemma.

**Lemma 2.1**<sup>[4]</sup> Suppose  $m$  is a positive integer,  $L_j$  is a set of integers with  $|L_j| = l_j \geq m$  for each  $j \in \{1, \dots, m\}$ , let  $T_m(L_1, \dots, L_m) =$

$$\left\{ \sum_{i=1}^m x_i \mid x_i \in L_i, i \neq j \Rightarrow x_i \neq x_j \right\}.$$

Then

$$|T_m(L_1, \dots, L_m)| \geq \sum_{j=1}^m l_j - m^2 + 1.$$

Let  $L_z$  ( $z \in V(G) \cup E(G)$ ) be any given set of lists of integer numbers, each of size  $k$ , where  $k = \Delta(G) + 3$ . For simplicity, we use " $k$ -nsd list total coloring" to denote " $k$ -neighbor sum distinguishing list total coloring". Let  $\phi$  be a  $k$ -nsd list total coloring of planar graph  $G$  without adjacent triangles with  $\Delta(G) \geq 7$ . Assume that  $u \in V(G)$  with  $d(u) \leq 3$ , it is easy to see that  $u$  has at most 3 adjacent vertices and 3 incident edges, and the sum obtained at  $u$  must be distinct from 3 sums at the adjacent vertices of  $u$ . So  $u$  has at most 9 forbidden colors. Since  $|L_u| = k \geq 10$ , we may first erase the color of  $u$  and recolor it finally. In other words, we may omit the recoloring for all  $3^-$ -vertices in the following discussion.

Our proof proceeds by reduction and absurdum. Assume that  $G$  is a counterexample to Theorem 1.1 such that  $|V(G)| + |E(G)|$  is as small as possible. Obviously,  $G$  is connected. Similar to the claim in [4], we have the following claim.

**Claim 1**<sup>[4]</sup>. For any vertex  $u \in V(G)$ , it holds that

$$\sum_{i=1}^3 [d_i(u) (\Delta(G) + 4 - d(u) - i)] \leq d(u) - 1.$$

By Claim 1, for any  $u \in V(G)$  with  $d(u) \geq 4$ , we have the following claim.

**Claim 2.** (1) There is no  $4^-$ -vertex adjacent to any  $3^-$ -vertex.

(2) If  $u$  is a 5-vertex of  $G$ , then  $d_1(u) = 0$  and  $d_{3^-}(u) = 0$ .

(3) If  $u$  is a 6-vertex of  $G$ , then  $d_{2^-}(u) \leq 1$  and if  $d_2(u) = 1$ , then  $d_3(u) \leq 1$ .

(4) If  $u$  is a  $l$ -vertex of  $G$  with  $l \geq 7$ , then  $d_1(u) \leq \left\lfloor \frac{l-1}{3} \right\rfloor$ .

Let  $H$  be the graph obtained by removing all leaves of  $G$ . By claim2,  $H$  is a connected planar graph with  $\Delta(H) \geq 2$ , and we have the following claim.

**Claim 3.** Let  $v$  be a vertex of  $H$ , if  $d_H(v) = 2$  or  $d_H(v) = 3$ , then the neighbors of  $v$  must be  $5^+$ -vertices in  $H$ .

In order to complete the proof, we use the discharging method. Using Euler's formula

$$|V(H)| - |E(H)| + |F(H)| = 2,$$

$$\text{then } \sum_{v \in V(H)} (d_H(v) - 4) + \sum_{f \in F(H)} (d_H(f) - 4) = -8.$$

First, we give an initial charge function  $w(v) = d_H(v) - 4$  for every  $v \in V(G)$  and  $w(f) = d_H(f) - 4$  for every  $f \in F(H)$ . Next, we design a discharging rule and redistribute weights accordingly. Let  $w'$  be the new charge after the discharging. We will show that  $w'(x) \geq 0$  for all  $x \in V(H) \cup F(H)$ . This leads to the following contradiction:

$$0 \leq \sum_{x \in V(H) \cup F(H)} w'(x) = \sum_{x \in V(H) \cup F(H)} w(x) = -8 < 0.$$

Hence, this demonstrates that no such a counterexample can exist. The discharging rule is defined as follow:

**(R) For each  $5^+$ -vertices  $u$  of  $H$ , gives 1 to each adjacent 2-vertex and gives  $\frac{1}{3}$  to each adjacent 3-vertex.**

By rule (R), we have the following results:

1. For each 5-vertex  $u \in V(G)$ , by claim 2,  $d_H(u) = 5$  and  $u$  has at most one neighbor of  $3^-$ -vertex in  $H$ . So  $w'(u) \geq 5 - 4 - 1 = 0$ .

2. For each 6-vertex  $u \in V(G)$ , by claim 2,  $d_{2^-}(u) \leq 1$ . And we have

(1) if  $d_{2^-}(u) = 0$ , then  $d_H(u) = 6$ , and the neighbors of  $u$  must be all  $3^+$ -vertex in  $H$ , So  $w'(u) \geq 6 - 4 - \frac{6}{3} = 0$ .

(2) if  $d_{2^-}(u) = 1$ , then  $d_3(u) \leq 1$ , So  $w'(u) = 6 - 4 - d_{2^-}(u) - \frac{d_3(u)}{3} \geq 2 - 1 - \frac{1}{3} > 0$ .

3. For each  $l$ -vertex  $u \in V(G)$  with  $l \geq 7$ , by claim 1, we have

$$l - 1 - (\Delta - l + 3)d_1(u) - (\Delta - l + 2)d_2(u) - (\Delta - l + 1)d_3(u) \geq 0.$$

So (1) if  $d_{2^-}(u) = 0$ , then

$$w'(u) = l - 4 - d_{2^-}(u) - \frac{d_3(u)}{3} \geq l - 4 - \frac{l}{3} > 0.$$

(2) if  $d_{2^-}(u) = 1$ , then

$$w'(u) = l - 4 - d_{2^-}(u) - \frac{d_3(u)}{3} \geq l - 4 - 1 - \frac{l-1}{3} \geq 0$$

(3) if  $d_{2^-}(u) = 2$ , by claim 1, we have

$$3d_1(u) + 2d_2(u) + d_3(u) \leq l - 1,$$

which induces that  $d_3(u) \leq l - 5$ . Then

$$w'(u) = l - 4 - d_{2^-}(u) - \frac{d_3(u)}{3} \geq l - 4 - 2 - \frac{l-5}{3} > 0$$

(4) if  $d_{2^-}(u) \geq 3$ , then

$$w'(u) = l - 4 - d_1(u) - d_2(u) - \frac{d_3(u)}{3} = [l - 1 - (\Delta - l + 3)d_1(u) - (\Delta - l + 2)d_2(u) - (\Delta - l + 1)d_3(u)]$$

$$+ (\Delta - l + 2)d_1(u) + (\Delta - l + 1)d_2(u) + (\Delta - l + \frac{2}{3})d_3(u) - 3 \geq 2d_1(u) + d_2(u) + \frac{2d_3(u)}{3} \geq 0.$$

4. For each 2-vertex or 3-vertex  $u$  in  $H$ , by claim 3, we have  $w'(u) \geq 2 - 4 + 2 = 0$  or

$$w'(u) \geq 3 - 4 + 3 \cdot \frac{1}{3} = 0.$$

5. For each face  $f$  in  $H$ , since  $H$  is also a planar graph with girth at least 4, then  $d_H(f) \geq 4$ , and we have  $w'(f) = w(f) \geq 0$ .

From above discussion, we have  $\sum_{x \in V(H) \cup F(H)} w'(x) \geq 0$ . It is a contradiction, which completes the proof of Theorem 1.1.

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