# Research on Modified Fuzzy C-means Algorithm in Lung Nodules Computer-aided Diagnosis (CAD) System

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**Abstract.** It is important for the early diagnosis and treatment of lung cancer in the Computer-aided Diagnosis/Detection (CAD) system, and accurate segmentation of pulmonary nodules from tomographic images is the basic and active research problem for the benign or malign diagnosis. For this reason, this work seeks to develop automatic detection and classification method of lung nodules. First, the algorithm separates lung parenchyma from the anatomical structures based on maximum between-cluster variance, image dilation and erosion. Secondly, a modified robust fuzzy c-means clustering(rFCM) segmentation algorithm is proposed, this method improves the objective function by adding a punishment factor, for eliminating the influence from noise and non-uniform gray problem. Experimental results have shown that the proposed method can achieve more accurate segmentation and perform better than other traditional algorithms in classification and recognition, Furthermore, the segmentation results on brain images also get a satisfied performance.

#### Introduction

American National Cancer Institute statistics that almost 22.7% cancer deaths is caused by lung cancer, and pulmonary nodules are potential manifestation of lung cancer [8]. So, early diagnosis is one of the key issues that can reduce the mortality of lung cancer [5]. Therefore, research on the lung cancer computer-aided diagnosis techniques has become more and more important. For promoting the development of CAD technology in lung cancer [2][3], the National Cancer Institute (NCI) of The United States established a lung CT image database---Lung Imaging Database Consortium (LIDC), which contains 1012 chest cases and 1356 nodules at the time of this study [4][13]. Each nodule case contains the painting boundary coordinates and vision features from four radiologists. And the malignancy ratings were set by the visual decision of the four radiologists from one to five. The bigger value represents more malignant suspicious.

The main working process of the CAD system is as follows: (1) the segmentation of lung nodules; (2) features extraction; (3) classification and recognition. The key technique includes image processing and machine learning. In this paper, the main method of lung nodules segmentation is based on FCM algorithm, which has been widely used in machine learning area.

The FCM algorithm is accord with human cognitive characteristics, which are simple to describe and easy to implement. But when it is applied to the field of image segmentation, the traditional FCM algorithm[7] is sensitive to noise, low in neighborhood information correlation, and easy to fall into local convergence. To solve these problems, many scholars have studied on it. Ahmed [1] etc. proposed a FCM algorithm of offset correction (FCM\_S), which introduces spatial information by modifying the objective function of traditional FCM algorithm. The algorithm achieves

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satisfactory results in MRI image segmentation processing. Szilagyi [12] etc. proposed an enhanced FCM (EnFCM) algorithm to speed up the clustering process. It uses a linearly weighted sum image formed from both original image and each pixel's local neighborhood average gray level. In addition, clustering is performed on the basis of the gray level histogram instead of pixels of the summed image. Hence, the computational time of EnFCM algorithm is reduced greatly. A novel robust fuzzy local information C-means clustering (FLICM) [11] algorithm with local spatial and gray level information incorporated is free of the empirically adjusted parameter and enhances the clustering performance.

Based on the analysis of above problems, this paper presents a modified robust fuzzy c-means clustering(rFCM) based on FCM. The algorithm makes use of the eight neighborhood pixels to analyze the center, and takes into account the gray level information of the pixels in the window. This method reduces the sensitivity of the algorithm to noise, especially the medical image with noise, which has better segmentation effects.

### Segmentation of lung nodules based on rFCM algorithm

## Traditional FCM algorithm

The traditional FCM algorithm is an important branch of unsupervised pattern classification in statistical pattern recognition. We set up a limited set of  $X = \{x_1, x_2, \dots x_n\}$  consisting of n samples. The clustering problem is to divide the set X into c subsets. The samples in the same subset are as similar as possible, but the samples in different subsets as different as possible, c is the number of clusters.

$$J_{FCM} = \sum_{i=1}^{N} \sum_{j=1}^{c} u_{ij}^{m} d^{2}(x_{i}, v_{j})$$
(1)

Where  $c \in [2, N)$  is the number of clusters,  $u_{ij}$  is the fuzzy membership of the  $x_i$  sample with respect to the  $v_i$  cluster center;  $m \in [2, \infty)$  is the weighting exponent;  $d^2(x_i, v_j)$  denotes Euclidean distance between the  $x_i$  sample and the  $v_j$  cluster center, define as:  $d^2(x_i, v_j) = \|x_i - v_j\|^2$ . In order to obtain the optimal partition of the sample set X, the minimize constraint of the objective function (1) is:

$$u_{ij} \in [0,1], \sum_{i=1}^{c} u_{ij} = 1$$
 (2)

By using the standard technique of Lagrange Multipliers to minimize the objective function under the constraint of (2), we obtain the formula of the fuzzy membership and the clustering center:

$$v_{j} = \frac{\sum_{i=1}^{N} (u_{ij})^{m} x_{i}}{\sum_{i=1}^{N} (u_{ij})^{m}} \qquad u_{ij} = \frac{1}{\sum_{k=1}^{c} (\frac{d_{ij}}{d_{jk}})^{\frac{2}{(m-1)}}}$$
(3)

### Robust Fuzzy Clustering Algorithm (rFCM)

In this section, we propose rFCM algorithm, which is applied in the segmentation of lung nodules image. The rFCM algorithm combines FCM\_S algorithm's reference of neighborhood information and the FLICM's insensitivity to noise. Of course, there are some excellent for noise

algorithms, such as contourlet [10], linear filter [6]. We take into account the characteristics of the objective function FCM by choosing the reference of neighborhood information, and improve the objective function for overcoming the problem of image excessive segmentation. The improved objective function combines the spatial characteristics and the high gray correlation of the neighborhood pixels, it predicts the gray correlation specific to the neighborhood information and applies the different clustering fuzzy factor according to correlation anticipation.

$X_r$	$X_r$	$\mathcal{X}_r$
$X_r$	$X_i$	$\mathcal{X}_r$
$\mathcal{X}_r$	$\mathcal{X}_r$	$\mathcal{X}_r$

Fig.1 The center pixel's 3\*3 neighborhood space window  $N_r$ 

Fig.1 aggregates the gray value of the center pixel  $x_i$  's neighborhood space window pixels, denoted by  $gray(N_i)$ . This method maps the image gray-scale (0~255) into new gray-scale according to the number of cluster, then judges the level of center pixel  $x_i$  in the new gray-scale, and counts the number of pixels in the neighborhood space which are in the same level with  $x_i$ , denoted by  $\theta$ . It's necessary to judge whether the number  $\theta$  is in the defined reference range (we define the number that satisfy in the same level with  $x_i$  as  $\beta$ ). If  $\theta < \beta$ , we can see that the pixel  $x_i$  doesn't cause abrupt change of the neighborhood space, then it can be applied the fuzzy factor  $N_{ij}$ , otherwise, the pixel  $x_i$  may be noise, which given by the fuzzy factor  $P_{ij}$ .

In the conventional FCM algorithm, the weight factor of the membership m has little effect on the results. When the value of m increases, the running time increases. Considering the time efficiency of the rFCM algorithm, the weight factor m is set to 2, which is conform to the previous experience summary. The rFCM algorithm's objective function is modified as:

$$J_{rFCM} = \sum_{i=1}^{N} \sum_{j=1}^{c} [u_{ij}^{2} d^{2}(x_{i}, v_{j}) + b_{i} N_{ij} + \overline{b_{i}} P_{ij}]$$
(5)

Where  $x_i$  is the gray value of pixel in the image;  $V_j$  is the center of the jth cluster;  $u_{ik}$  is the fuzzy membership of the pixel  $x_i$  with respect to the jth cluster center;  $N_R$  is the number of samples in neighborhood space;  $d^2(x_i, v_j)$  denotes Euclidean distance between the pixel  $x_i$  and the jth cluster center,  $b_i(i=1,2,\cdots,N)$  is a Boolean indicator vector with 0-1, the value of the pixels meeting the gray level that are defined as 1 and 0 otherwise;  $N_{ij}$  is the fuzzy factor that defined to meet the judgment of the pixel number in the same gray scale.

$$N_{ij} = u_{ij}^2 \frac{\alpha}{N_R} \sum_{\substack{r \in N_i \\ \theta < \beta}} \left\| x_r - v_j \right\|^2$$
 (6)

Where  $\alpha$  is a parameter controlling the affect intensity of the neighborhood information;  $N_R$  is the number of samples in neighborhood space;  $x_r$  is the gray value of the neighborhood pixel. In the opposite case, rFCM uses fuzzy factor  $P_{ij}$ :

$$P_{ij} = u_{ij}^{2} \sum_{\substack{x_{r} \in N_{i} \\ \theta \ge \beta}} (1 - u_{rj})^{2} \left\| x_{r} - v_{j} \right\|^{2}$$
(7

In formula (7),  $x_r$  is the gray value of the neighborhood pixels. Each pixel  $x_i$  should be subject

to the constraint of formula (2).  $P_{ij}$  is a new bound term that constrains weather the pixel  $x_i$  completely belong to the jth clustering center or not.  $P_{ij}$  avoids the ambiguity of the membership, makes the attribution of pixels clearer.  $P_{ij}$  not only takes the neighborhood information into consideration, but also constrains the value of the fuzzy membership, so we define the number that satisfying the same level with  $x_i$  as  $\beta$ . In formula (6) and (7), the parameter  $\beta$  is defined as the number that satisfying in the same level with  $x_i$ . Experiments verify that if the  $\beta$  is too big, the reference value of neighborhood pixels will be reduced, if the  $\beta$  is too small, the noise will be introduced. So the values of  $\beta$  should be selected according to actual condition.

# **Experimental results**

This section aims to verify the anti-noise performance of the improved algorithm in lung CT image segmentation. Thirty lung CT images are screened out from LIDC to carry out image segmentation experiments. The segmentation results of the new algorithm are compared with the existing four typical algorithms (FCM, FCM\_S, EnFCM, FLICM), as shown in Fig.2.

Fig.2 (a) is a special case that contains noise and in low definition, when compared with the traditional algorithms, we find that FCM, FCM\_S, EnFCM all have obvious jaggies in the edges, and do not make effective segmentation with some small texture. Although FLICM algorithm exhibits a good segmentation result in noise images, but it obviously has over-segmentation. Our algorithm makes an accurate segmentation with the image, especially the fine reserve of the texture and the reduction of the noise sensitivity. According to the comprehensive analysis, we can come to the conclusion that the rFCM algorithm can get satisfactory results with the noise and blurred image. Furthermore, we compare the segmentation results on brain CT image, which also verify the performance of our method.

Table 1 shows the average segment precision of four kinds of typical algorithms and rFCM algorithm on the lung CT image set.

Table 1 Values of the average segment precision for the compared segmentation algorithms

Algorithm	FCM	FCM_S	EnFCM	FLICM	rFCM
Lung CT image set	39%	0.46%	0.44%	0.62%	0.71%

#### Conclusion

In this paper, we propose a new method based on FCM algorithm, which is applied to the pulmonary cancer Computer-aided Diagnosis (CAD) System. In the segmentation of lung nodules stage, our method (rFCM) depending on characteristics of medical images using neighborhood windows of gray similarity judgments, choose different fuzzy clustering factor for image segmentation process, which solves the traditional algorithm segmentation of images with noise-sensitive issues and FLICM algorithm for image excessive segmentation. Experimental results are applied to the CAD system, which have achieved satisfactory results.

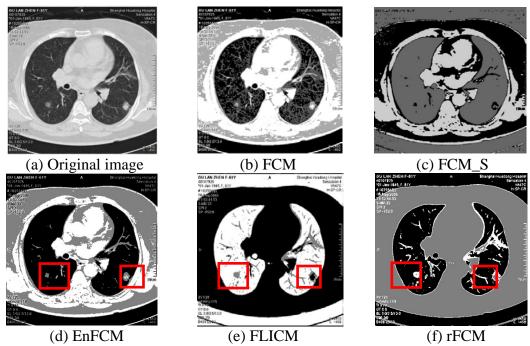


Fig. 2 Lung CT image segmentation results (Algorithm parameters  $\alpha = 0.7$ ,  $N_R = 9$ ,  $\beta = 6$  and the specification gray level is defined as  $gray(N_i)/c$ )

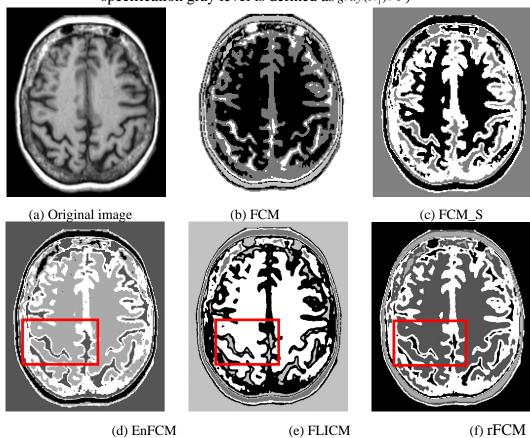


Fig. 3 Brain CT image segmentation results (Algorithm parameters  $\alpha = 0.8$ ,  $N_R = 9$ ,  $\beta = 6$  and the specification gray level is defined as  $gray(N_i)/c$ )

However, there are still some disadvantages about the new method. For example, it does not work well for the time complexity. In future research, we will try to solve these problems.

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