

An AHP-based Evaluation System of Urban Healthy Growth

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Abstract: In recent years, the urban planning theory of Healthy Growth has getting more and more attention, due to the situation of increasing urban population. To better quantify the healthy growth degree of a city, we build an AHP based Evaluation System of Healthy Growth. Firstly, we classify and quantify the impact indicators. Secondly, we make an questionnaire, which can be used to predict the weight of each category when the feedback data tends to infinity. Finally, according to the relative importance of indicators under each category, the judgment matrix is established and the weight of each indicator is obtained. Also, the consistency test is excellent.

Keywords: Urban healthy growth, AHP.

1. Introduction

Healthy growth is an urban planning and transportation theory that concentrates growth in compact walkable urban center to avoid sprawl. It also advocates transit-oriented, bicycle-friendly land use, including neighborhood schools, complete streets, and mixed-use development with a range of housing choices. There are some other principles for healthy growth, such as fostering distinctive, attractive communities with a strong sense of place and preserving open space, farmland, natural beauty [1]. Our work is to build a realistic, sensible, and useful model to optimize the healthy growth of the city. Considering the insufficient data, we choose Analytic Hierarchy Process (AHP) to build the model.

2. Model Elaboration

2.1 The Establishment of Categories.

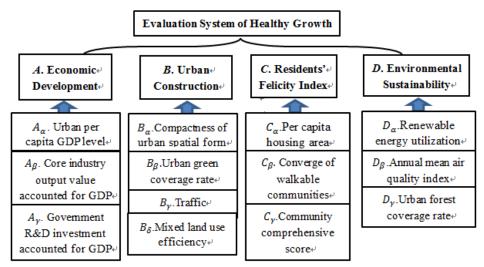


Fig.1 Evaluation indicators of Healthy Growth

The structure of the evaluation system, along with the definition of each category and its internal



indicators can be displayed in this figure.**2.2 The Quantification of Indicators.**In the above figure,

$$A_{\alpha} = \frac{UGDP}{AGDP} \tag{1}$$

$$D_{\alpha} = \frac{R}{E} \tag{2}$$

in which,

UGDP is urban per capita GDP.

AGDP is American per capita GDP.

R is the utilization of renewable energy per year.

E is the total amount of energy consumption per year.

 $A_{\beta}, A_{\gamma}, B_{\alpha}, B_{\beta}, B_{\delta}, C_{\alpha}, D_{\beta}, D_{\gamma}$ can be obtained in the relevant information.

 B_{γ} is used to evaluate the urban traffic, is in relation to the degree of traffic development and traffic congestion.

 C_{β}, C_{γ} is difficult to obtain specific data, are presented in a questionnaire. Our questionnaire is

composed of the following parts: 1. Questions pertaining to the public facilities and security situation in the respondents' communities. (50 points) 2. Questions pertaining to the residents' housing feeling of their communities. (50 points) 3. Additional question: Is your community walkable? (Walkable communities are created in part by mixing land uses and taking advantage of compact design, but are activated by smart street design that makes walking not only practical but safe and convenient to enjoy.) The total score of the top two questions get C_{γ} , the additional

question is expected to estimate C_{β} .

Table 1 Classification criteria of indicators

Indicators Level	1	2	3	4	5
Urban per capita GDP level /%	0~5	5~10	10~15	15~20	≥20
Core industry output value accounted for GDP/%	≥30	25~30	20~25	15~20	0~15
Government R&D investment acco unted for GDP/%	0~0.25	0.25~0.5	0.5~1	1~2	≥2
Compactness of urban spatial form	0~1	1~1.5	1.5~3	3~6	≥6
Urban green coverage rate/%	0~10	10~20	20~30	30~40	40~100
Traffic	Worst	Worse	General	Better	Best
Mixed land use efficiency	Least	Less	General	More	Most
Per capita housing area	0~10	10~20	20~40	40~60	≥60
Coverage of walkable communities	Least	Less	General	More	Most
Community comprehensive score	0~20	20~40	40~60	60~80	80~100
Renewable energy utilization	0~20	20~40	40~60	60~80	80~100
Annual mean air quality index	≥200	100~200	50~100	10~50	≤10
Urban forest coverage rate	0~10	10~20	20~40	40~60	≥60



In the table above, Level 1, 2, 3, 4, 5 correspond to 10, 30, 50, 70, 90 points respectively.

2.3 Mathematical Expression for Categories.

2.3.1 Expression for Economic Development. As is shown in Figure 1, Economic Development is determined by $A_{\alpha}, A_{\beta}, A_{\gamma}$. Their corresponding levels are A_1, A_2, A_3 . According to the pairwise comparison of various indicators in the first category, the judgment matrix of the criterion layer is

The eigenvector corresponding to the largest eigenvalue of the matrix is 0.9288, 0.1747, 0.3288. The consistency test is carried out as follows:

(i) Consistency Index (CI)

$$CI = \frac{\lambda_{max} - n}{n - 1} \tag{3}$$

The result of calculation: CI=0.00185.

- (ii) In the case N=3, the average random consistency index RI=0.58.
- (iii) Consistency Ratio (CR)

$$C = \frac{CI}{RI} \tag{4}$$

The result of calculation: CR=0.00318966.

The consistency of the judgment matrix is acceptable, for CR < 0.1.

The expression for Economic Development is

$$A = 0.9288A_1 + 0.1747A_2 + 0.3288A_3 \tag{5}$$

2.3.2 Expression for Urban Construction.

As is shown in Figure 1, Urban Construction is determined by $B_{\alpha}, B_{\beta}, B_{\gamma}, B_{\delta}$. Their corresponding

levels are B_1, B_2, B_3, B_4 . According to the pairwise comparison of various indicators in the second category, the judgment matrix of the criterion layer is

$$\begin{bmatrix} 1 & 3 & 5 & 2 \\ 1/3 & 1 & 2 & 1 \\ 1/5 & 1/2 & 1 & 1/3 \\ 1/2 & 1 & 3 & 1 \end{bmatrix}$$

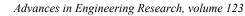
The eigenvector corresponding to the largest eigenvalue of the matrix is 0.8425, 0.3267, 0.1538, 0.3998. The consistency test is carried out as follows:

(i) Consistency Index (CI)

$$CI = \frac{\lambda_{max} - n}{n - 1} \tag{6}$$

The result of calculation: *CI*=0.0082667.

- (ii) In the case N=4, the average random consistency index RI=0.90.
- (iii) Consistency Ratio (*CR*)





$$R = \frac{CI}{RI} \tag{7}$$

The result of calculation: *CR*=0.00918519.

The consistency of the judgment matrix is acceptable, for CR < 0.1.

The expression for Economic Development is

$$B = 0.8425B_1 + 0.3267B_2 + 0.1538B_3 + 0.3998B_4 \tag{8}$$

2.3.3 Expression for Residents' Felicity Index.

As is shown in Figure 1, Residents' Felicity Index is determined by $C_{\alpha}, C_{\beta}, C_{\gamma}$. Their corresponding

levels are C_1, C_2, C_3 . According to the pairwise comparison of various indicators in the third category, the judgment matrix of the criterion layer is

$$\begin{bmatrix} 1 & 3 & 1/2 \\ 1/3 & 1 & 1/5 \\ 2 & 5 & 1 \end{bmatrix}$$

The eigenvector corresponding to the largest eigenvalue of the matrix is 0.4629, 0.1640, 0.8700. The consistency test is carried out as follows:

(i) Consistency Index (CI)

$$CI = \frac{\lambda_{max} - n}{n - 1} \tag{9}$$

The result of calculation: CI=0.00185.

- (ii) In the case N=3, the average random consistency index RI=0.58.
- (iii) Consistency Ratio (CR)

$$CR = \frac{CI}{RI} \tag{10}$$

The result of calculation: *CR*=0.00318966.

The consistency of the judgment matrix is acceptable, for CR<0.1.

The expression for Economic Development is

$$C = 0.4629C_1 + 0.1640C_2 + 0.8700C_3 \tag{11}$$

2.3.4 Expression for Environmental Sustainability.

As is shown in Figure 1, Environmental Sustainability is determined by $D_{\alpha}, D_{\beta}, D_{\gamma}$. Their

corresponding levels are D_1 , D_2 , D_3 . According to the pairwise comparison of various indicators in the fourth category, the judgment matrix of the criterion layer is

$$\begin{bmatrix} 1 & 3 & 5 \\ 1/3 & 1 & 2 \\ 1/5 & 1/2 & 1 \end{bmatrix}$$

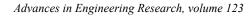
The eigenvector corresponding to the largest eigenvalue of the matrix is 0.9281, 0.3288, 0.1747. The consistency test is carried out as follows:

(i) Consistency Index (*CI*)

$$CI = \frac{\lambda_{max} - n}{n - 1} \tag{12}$$

The result of calculation: CI=0.00185.

- (ii) In the case N=3, the average random consistency index RI=0.58.
- (iii) Consistency Ratio (CR)





$$CR = \frac{CI}{RI} \tag{13}$$

The result of calculation: *CR*=0.00318966.

The consistency of the judgment matrix is acceptable, for CR < 0.1.

The expression for Economic Development is

$$D = 0.9281D_1 + 0.3288D_2 + 0.1747D_3 \tag{14}$$

2.4 The Ascertain of the Weight of Each Category.

According to relevant information and expert evaluation, we analyzed and assessed the significance of the following categories: "Economic Development", "Urban Construction", "Residents' Felicity Index" and "Environmental Sustainability". Being unable to confirm the weight of each categories, we made an online questionnaire with a total score of 100, and 30 respondents were asked to rate each category.

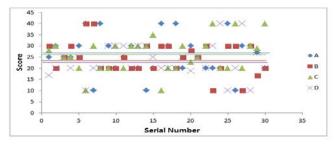


Fig.2 Prediction for weight by feedback

From the figures above, it is not difficult to see: When the quantity of feedback tends to infinity, the score of each category is likely to be close to 25. Therefore, the ultimate score of Urban Healthy Growth can be described by:

$$Y' = 0.25A + 0.25B + 0.25C + 0.25D \tag{15}$$

$$Y = \frac{Y'}{136.881} \times 100$$
 (16)

3. Conclusions

This paper discusses an AHP-based model to evaluate the healthy growth of a city. The specific work is as follows:

- (1) Collect and divide the indicators affecting urban development into four categories.
- (2) Quantify and divide each indicator into five grades of 1,2,3,4,5 by the existing data and the principles for healthy growth.
- (3) Combine some complicated indicators as one indicator named Community Comprehensive Score, and conduct a survey to quantify it.
- (4) Discuss the relation between the indicators to get the judgment matrix .
- (5) Set up the Evaluation System of Healthy Growth.

In short, it is scientific to evaluate the urban growth situation through this model by scoring the healthy growth of a city, and the ratio of the score to 100 can be used to measure of the success of healthy growth.

4. References

[1] All about China. "Five evaluation indexes of urban sustainable development." *China Economy*. http://www.jiemian.com/article/631163.html (accessed 2 May, 2016)