

## Biorthogonal Nonuniform B-spline Wavelets with Small Supports

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**Abstract**—A kind of biorthogonal nonuniform B-spline wavelets with small supports is constructed. And the performances of the wavelets are analyzed. In addition to having small supports, the proposed wavelets are simple and efficient in computation, possess good approximation property and are flexible for the application in multiresolution modeling of NURBS curves and surfaces.

**Keywords**—B-spline wavelets, nonuniform B-spline, biorthogonal, multiresolution, support

### I. INTRODUCTION

Multiresolution modeling of curves and surfaces is an important area in CAGD. B-spline wavelets are the bases of multiresolution representation of parametric curves and surfaces. Uniform or quasiuniform B-spline wavelets have been applied in the modeling of curves and surfaces earlier [1]. But they cannot be applied directly to nonuniform B-spline based NURBS curves and surfaces. Semiorthogonal nonuniform B-spline wavelets are suitable for NURBS [2], but they are complex in computation. Biorthogonal nonuniform B-spline wavelets are simpler in computation usually. There are a few research results in the aspect [3-5]. The Biorthogonal nonuniform B-spline wavelets proposed in [5] are based on discrete norm  $l_2$  which are efficient in computation, good in approximation and flexible in application. But their supports are not always small when the knots with multiplicities greater than one are inserted. Small supports of wavelets provide greater flexibility for the multiresolution modeling of curves and surfaces. This paper improves the results in [5], and proposes a kind of biorthogonal nonuniform B-spline wavelets which possess smaller supports and retain the strong points of the wavelets in [5].

### II. BIORTHOGONAL NONUNIFORM B-SPLINE WAVELETS

Let  $T_0 \subset T_1 \subset \dots$  be a nested sequence of nonuniform knot vectors, where  $T_i = \{t_{i,0}, t_{i,1}, \dots, t_{i,n_i+k}\}$ ,  $i = 0, 1, \dots$  satisfy the following conditions:

$$a = t_{i,0} = \dots = t_{i,k-1} < t_{i,k} \leq t_{i,k+1} \leq \dots \leq t_{i,n_i} < t_{i,n_i+1} = \dots = t_{i,n_i+k} = b, \\ t_{i,j} < t_{i,j+k}, n_i \geq k-1,$$

$\{N_{i,j,k}(t)\}_{j=0}^{n_i}$  be the normalized B-spline basis of order  $k$  determined by knot vector  $T_i$ , and  $V_i$  be the polynomial spline space of degree  $k-1$  spanned by  $\{N_{i,j,k}(t)\}_{j=0}^{n_i}$ . Then  $V_0 \subset V_1 \subset \dots$ . Suppose that  $W_i$  is a complement space of  $V_i$  in  $V_{i+1}$ . Then a basis  $\{\Psi_{i,j}(t)\}_{j=1}^{m_i}$  of  $W_i$  constitutes a set of nonuniform B-spline wavelets, where  $m_i + n_i = n_{i+1}$ .  $V_i$  and  $W_i$  are called scale and wavelet spaces respectively. Let  $N_{i,k} = [N_{i,0,k} \ N_{i,1,k} \ \dots \ N_{i,n_i,k}]$  and  $\Psi_i = [\Psi_{i,1} \ \Psi_{i,2} \ \dots \ \Psi_{i,m_i}]$ . Then there exist matrices  $P_i$  of order  $(n_{i+1}+1) \times (n_i+1)$  and  $Q_i$  of order  $(n_{i+1}+1) \times m_i$  such that

$$[N_{i,k} \ \Psi_i] = N_{i+1,k} [P_i \ Q_i] \quad (1)$$

where  $P_i$  and  $Q_i$  are called the reconstruction matrices of the B-spline wavelets. Let  $\begin{bmatrix} A_i \\ B_i \end{bmatrix} = [P_i \ Q_i]^{-1}$ . Then  $A_i$  of order  $(n_i+1) \times (n_{i+1}+1)$  and  $B_i$  of order  $m_i \times (n_{i+1}+1)$  are called the decomposition matrices of the B-spline wavelets. The construction of B-spline wavelets means construction matrices  $P_i$ ,  $Q_i$ ,  $A_i$  and  $B_i$ .

Reconstruction matrix  $P_i$  is a knot insertion matrix for every kind of B-spline wavelets. It can be computed by Oslo Algorithm [6] or the recursive algorithm [7]. But for different kinds of B-spline wavelets, their reconstruction matrices  $Q_i$ 's are different. And the constructions of decomposition matrices depend on that of reconstruction matrices. Therefore the key for constructing B-spline wavelets is constructing  $Q_i$ . In order to construct biorthogonal B-spline wavelets, though the condition for  $Q_i$  is only that  $[P_i \ Q_i]$  is a nonsingular matrix theoretically, there are more requirements usually according to the demands of multiresolution modeling of curves and surfaces. The performances required for wavelets include: (i) simple and efficient computation; (ii) good approximation property; (iii) small support; (iv) flexible in applications. Approximation property insures that the lower resolution curves and surfaces approximate the higher resolution ones well. Wavelets with smaller supports make that the decomposed wavelet details possess better local property.

Knot vector  $T_{i+1}$  of higher resolution is constructed by inserting  $m_i$  knots into knot vector  $T_i$  of lower resolution.

One new knot corresponds to one wavelet.  $\mathbf{Q}_i$  is a band matrix. According to Eq. (1) and the local support property of B-spline, the support of a wavelet is determined by the bandwidth (the number of nonzero elements) of the corresponding column in  $\mathbf{Q}_i$ . And one new knot  $t_{i+1,j}$  only affects  $k+1$  B-spline base functions  $N_{i+1,j-k+1,k}(t), N_{i+1,j-k+2,k}(t), \dots, N_{i+1,j,k}(t)$ . So it is enough and suitable using these  $k+1$  base functions to represent the wavelet corresponding to the new knot  $t_{i+1,j}$ . This implies that it is feasible and reasonable the bandwidth of the corresponding column in  $\mathbf{Q}_i$  is  $k+1$ . Therefore from the view of application, the minimum column bandwidth of  $\mathbf{Q}_i$  is  $k+1$ .

### III. NEW B-SPLINE WAVELETS WITH SMALL SUPPORTS

#### A. Construction Of New Wavelets

Denote the column bandwidth of a band matrix  $\mathbf{A}$  by  $CW(\mathbf{A})$ , that is  $CW(\mathbf{A})$ =the maximal number of nonzero elements in a column of matrix  $\mathbf{A}$ .

The biorthogonal nonuniform B-spline wavelets given in [5] (called Pan wavelets below) possess properties (i), (ii) and (iv) proposed in section 2. Let  $\bar{\mathbf{Q}}_i$  be the reconstruction matrix of Pan wavelets. In general cases  $CW(\bar{\mathbf{Q}}_i) = k+1$ , but it is possible that  $CW(\bar{\mathbf{Q}}_i) > k+1$  when knots with multiplicities greater than one are inserted. Based on Pan wavelets we construct new B-spline wavelets below. The new wavelets possess smaller supports such that  $CW(\bar{\mathbf{Q}}_i) = k+1$  and maintain the good properties (i), (ii) and (iv) as that of Pan wavelets.

Firstly we analyze the distribution of nonzero elements of  $\bar{\mathbf{Q}}_i$ . Let  $t_{i+1,j} \in \mathbf{T}_i$  be a new knot corresponding to  $\mathbf{T}_i$  and its insertion multiplicity be  $\alpha_{i+1}(j)$ . Then according to the construction formula of  $\bar{\mathbf{Q}}_i$  in [5], the nonzero elements in  $\alpha_{i+1}(j)$  columns of  $\bar{\mathbf{Q}}_i$  corresponding to  $t_{i+1,j}$  distribute as follows:

$$\begin{matrix}
 & \underbrace{\hspace{2cm}}_{\alpha_{i+1}(j) \text{ columns}} \\
 & \# \\
 & \vdots \\
 & \# \dots \vdots \\
 \beta_{i+1}(j) \rightarrow & \# \# \dots \vdots \\
 & \vdots \vdots \dots \vdots \\
 l_{i+1}(j) \rightarrow & \# \# \dots \vdots \\
 & \# \dots \vdots \\
 & \vdots \# \\
 & \#
 \end{matrix}, \quad (2)$$

where # denotes a nonzero element,

$$\begin{aligned}
 \tau_{i+1}(j) &= \text{The multiplicity of } t_{i+1,j} \text{ in } \mathbf{T}_i, \quad l_{i+1}(j) = \min\{s \mid t_{i+1,s} = t_{i+1,j}, 0 \leq s \leq n_{i+1}\} \\
 \beta_{i+1}(j) &= l_{i+1}(j) - k + \tau_{i+1}(j) + \alpha_{i+1}(j) - 1.
 \end{aligned} \quad (3)$$

From Eq. (2) we know that the bandwidth of the corresponding column in  $\bar{\mathbf{Q}}_i$  is  $k+1$  when  $\alpha_{i+1}(j) = 1$ , the bandwidths of  $\alpha_{i+1}(j)$  corresponding columns in  $\bar{\mathbf{Q}}_i$  are greater than  $k+1$  possibly when  $\alpha_{i+1}(j) > 1$ , and in the worst case that reach  $2k$  when  $\alpha_{i+1}(j) = k$ .

Define the discrete inner product of space  $V_i$  as  $\langle h_1, h_2 \rangle = \mathbf{v}_1^T \mathbf{v}_2$ , where  $h_1 = N_{i,k} \mathbf{v}_1$ ,  $h_2 = N_{i,k} \mathbf{v}_2$ . Pan wavelets make that  $\mathbf{W}_i$  and  $V_i$  are orthogonal based on this discrete inner product. So the reconstruction matrices satisfy the following discrete orthogonal condition:

$$\mathbf{P}_i^T \bar{\mathbf{Q}}_i = \mathbf{0}. \quad (4)$$

Obviously, Eq. (4) is still true if we execute any column transformation to  $\bar{\mathbf{Q}}_i$ , that is  $\mathbf{P}_i^T \tilde{\mathbf{Q}}_i = \mathbf{0}$  for  $\tilde{\mathbf{Q}}_i = \bar{\mathbf{Q}}_i \mathbf{D}$  where  $\mathbf{D}$  is an arbitrary nonsingular matrix of order  $m_i$ . This means that if new wavelets are defined with  $\tilde{\mathbf{Q}}_i$  as wavelet reconstruction matrix, the new wavelets possess the same approximation property as Pan wavelets. But from Eq. (2) we know that executing column transformation to  $\bar{\mathbf{Q}}_i$  cannot reduce the maximum column bandwidth to  $k+1$  if  $CW(\bar{\mathbf{Q}}_i) > k+1$ . It is observed that if we execute proper row transformation to  $\bar{\mathbf{Q}}_i$  first, then reducing the maximum column bandwidth to  $k+1$  through column transformation can be realized.

The method for the construction of new wavelets is based on the above analysis. Firstly define a row transformation matrix of order  $n_{i+1}$  as follows:

$$\mathbf{H}_{i+1,t,t'}^{(c)} = \begin{bmatrix} 1 & & & & & & & & & & \\ & \ddots & & & & & & & & & \\ & & 1 & & & & & & & & \\ & & & \ddots & & & & & & & \\ & & & & 1 & & & & & & \\ & & & & & c & & & & & \\ & & & & & & 1+c^2 & & & & \\ & & & & & & & \ddots & & & \\ & & & & & & & & 1+c^2 & & \\ & & & & & & & & & 1 & \\ & & & & & & & & & & \ddots \\ & & & & & & & & & & & 1 \end{bmatrix} \begin{matrix} \leftarrow t \\ \\ \\ \\ \\ \\ \\ \\ \\ \leftarrow t' \\ \end{matrix}$$

where  $t'-t$  is even,  $c$  is a real number,  $0 < c < 1$ , the other elements are all 0's. For the case when  $t'-t$  is odd, matrix  $\mathbf{H}_{i+1,t,t'}^{(c)}$  is defined similarly. Suppose that  $t_{i+1,j_1}, t_{i+1,j_2}, \dots, t_{i+1,j_r} \in \mathbf{T}_{i+1}$  are all new knots corresponding to  $\mathbf{T}_i$  with the insertion multiplicities  $\alpha_{i+1}(j_s) > 1$ . Let



Secondly, the maximal column bandwidth of reconstruction matrix  $\mathbf{Q}_i$  is  $k+1$ . From the view of application new wavelets possess the smallest supports.

Thirdly, since new wavelets are nonuniform ones, they provide greater flexibility when applied to the MRA of NURBS curves and surfaces. They are suitable for various nested sequence of knot vectors. They can be used to construct rich multiresolution levels for various applications.

Lastly, we discuss the approximation property of new wavelets. Since  $\mathbf{H}_{i+1}^{(c)-1}$  is a positive definite matrix, we can define a discrete inner product in space  $\mathbf{V}_{i+1}$  as  $\langle h_1, h_2 \rangle_{i+1,c} = \mathbf{v}_1^T \mathbf{H}_{i+1}^{(c)-1} \mathbf{v}_2$ , where  $h_1 = N_{i+1,k} \mathbf{v}_1 \in \mathbf{V}_{i+1}$ ,  $h_2 = N_{i+1,k} \mathbf{v}_2 \in \mathbf{V}_{i+1}$ .

Then according to Eq. (5), the scale space  $\mathbf{V}_i$  and wavelet space  $\mathbf{W}_i$  for new wavelets are orthogonal based on the inner product  $\langle \cdot, \cdot \rangle_{i+1,c}$ . Denote the discrete norm defined by the inner product  $\langle \cdot, \cdot \rangle_{i+1,c}$  as  $\|\cdot\|_{i+1,c}$ , that is  $\|h\|_{i+1,c}^2 = \mathbf{v}^T \mathbf{H}_{i+1}^{(c)-1} \mathbf{v}$  for  $h = N_{i+1,k} \mathbf{v} \in \mathbf{V}_{i+1}$ . It is not difficult to prove the following theorem.

**Theorem 1** For a B-spline function  $f_{i+1} = N_{i+1,k} \mathbf{d}_{i+1} \in \mathbf{V}_{i+1}$ , suppose that the lower resolution part of  $f_{i+1}$  is  $f_i = N_{i,k} \mathbf{d}_i \in \mathbf{V}_i$

$$\|f_i - f_{i+1}\|_{i+1,c} = \min \{ \|h_i - f_{i+1}\|_{i+1,c} \mid h_i \in \mathbf{V}_i \}$$

Theorem 1 show that the lower resolution part  $f_i$  of  $f_{i+1} \in \mathbf{V}_{i+1}$  decomposed by new wavelets is the orthogonal projection of  $f_{i+1}$  into lower resolution scale space  $\mathbf{V}_i$  based on the discrete inner product  $\langle \cdot, \cdot \rangle_{i+1,c}$ .

Let  $\mathbf{v} = [v_0 \ v_1 \ \dots \ v_{n_{i+1}}]^T$ . By simple computation we have

$$\mathbf{v}^T \mathbf{H}_{i+1,t,t'}^{(c)-1} \mathbf{v} = v_0^2 + \dots + v_{t+u}^2 + (v_{t+u+1} - cv_{t'-u-1})^2 + (v_{t+u+2} - cv_{t'-u-2})^2 + \dots + (v_t - cv_t)^2 + v_{t+1}^2 + \dots + v_{n_{i+1}}^2 \tag{7}$$

where  $u = \lceil (t-t'-1)/2 \rceil$ . Then  $(1-|c|)\mathbf{v}^T \mathbf{v} \leq \mathbf{v}^T \mathbf{H}_{i+1,t,t'}^{(c)-1} \mathbf{v} \leq (1+2|c|)\mathbf{v}^T \mathbf{v}$  if  $c < 1$ . Note that in the

Equation  $\mathbf{H}_{i+1}^{(c)-1} = \prod_{s=1}^r \mathbf{H}_{i+1,\gamma_{i+1}(j_s),\beta_{i+1}(j_s)}^{(c)-1}$  we always have  $\beta_{i+1}(j_s) < \gamma_{i+1}(j_{s+1})$ . Then from Eq. (7) we have

$$(1-|c|)\|\mathbf{v}\|_{l_2} \leq \|\mathbf{v}\|_{i+1,c} \leq (1+2|c|)\|\mathbf{v}\|_{l_2}, \tag{8}$$

where  $c < 1$ . Eq. (8) reveals an equivalent relation between discrete norms  $\|\cdot\|_{i+1,c}$  and  $\|\cdot\|_{l_2}$ . It implies that new wavelets possess the same good approximation property as that of Pan wavelets.

#### IV. CONCLUSION

In the paper a kind of biorthogonal nonuniform B-spline wavelets is constructed. From the view of application, proposed wavelets possess the smallest supports. In addition to having small supports, the proposed wavelets

are simple and efficient in computation, possess good approximation property and are flexible in the application for the multiresolution modeling of NURBS curves and surfaces.

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