

Preliminary research of water classification from TM images in Yangtze estuary

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Abstract: Classification of water body helps to understand relationships between different properties inside a certain class and quantify variations between different classes. The paper concentrates on studying classification of water in Yangtze estuary based on in site investigations of spectral reflectance properties and water color parameters. By our researching, we found eight optical classes of water bodies. We put forward an algorithm of reflectance spectrum slope in order to distinguish different spectrum reflectance types. The algorithms applied to water body classification of a TM image. From TM image, we detected six water classes. Spatial distributions of different water classes are consistent with the facts. The results of study are beneficial to monitor dynamic distributions of different water classes and provide a basis for regional algorithms to retrieve biogeochemical parameters concentrations.

Keywords: Yangtze estuary, water classification, spectrum slope, TM image

I. Introduction

Estuary is considered to one of hotspot areas about studying global change, because there are many exchange processes of matter and energy between land and ocean in the area. These processes effect estuarine ecological environment, such as water quality, delta growth and retreat, scouring and silting changes of river bed and harbor engineering^[1, 2]. However, people don't know much about change mechanism of environment in the estuary, due to high spatial and temporal dynamics in the estuary. Thus, only traditional in site measurements are inadequate in studies estuarine environment. For obtained firsthand large scale information about estuary,

remote sensing provides a good method with fine spatial resolution and high frequency measurement. Although obtaining some meaningful achievement^[3], quantitative interpretation of ocean color remote sensing image still face many challenging. On the one hand, atmospheric correction procedure in Open Ocean is failure in estuarine area^[3], on other hand quantitative inversion algorithms regional and non-portability for complex component and high variability of hydrodynamic processes in estuary^[4]. In order to build a set of sophisticated algorithms, we need obtain prior knowledge of water optical component in the estuary. An alternative method of building inversion model in optical complex water is based on optical classification that aim at grouping water with similar optical characteristics and develop adapted algorithm for each water class. In this paper, in site measurement data and TM image were used for identify different optical water types in the Yangtze estuary. Water classification is important significance for spatial-temporal dynamic monitoring of different water mass and developing different algorithm for different water type in the Yangtze estuary.

II. Methods

Yangtze estuary is located in the eastern China. The estuary is characterized by the three-order bifurcations and four-branch channels discharging into the East China Sea. From 2007 to 2010, in situ measurements were carried out in the Yangtze estuary at different seasons and various tide conditions. Hyperspectral radiometric measurements were performed by above water surface method^[5]. Water samples were simultaneously collected for the acquisition of water components concentrations from surface to bottom in vertical direction. Using in site data remote sensing reflectance was

calculated by Tang^[6] proposed method. Kuang et al^[7] have analyzed characteristics of spectral remote sensing reflectance. The results show that there are eight types of remote sensing reflectance in Yangtze estuary. In order to distinguish different type of remote sensing reflectance, a representative data was chose respectively in each type of remote sensing reflectance. Eight types of remote sensing reflectance are plotted on Fig.1. Because of water components concentration effect, remote sensing reflectance presents the form of peak-valley with distinguish different water spectral reflectance.

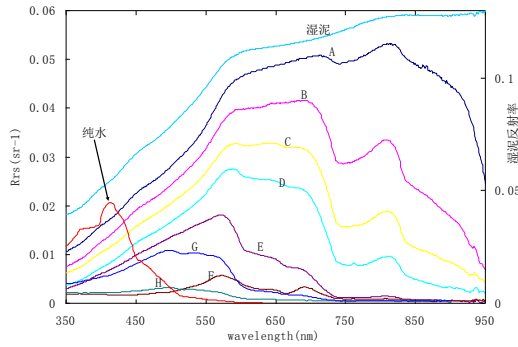


Fig. 1 Remote sensing reflectance in Yangtze estuary

According to measured data in Yangtze estuary, the paper put forward an algorithm of spectrum slope in order to distinguish different spectrum types. The algorithmic formula can be expressed as follow.

$$S = \frac{R_i - R_j}{\lambda_i - \lambda_j} \quad (1)$$

Where S is spectrum slope, λ_i, λ_j is different wavelength. R_i and R_j are remote sensing reflectance corresponding to wavelength λ_i, λ_j . There are two conditions of distinguish different spectrum types as follows.

- (1) The size of single S value: $S > 0$, or $S < 0$, or $S = 0$;
- (2) Compare of two sets of S value: $S_1 > S_2$, or $S_1 < S_2$, or $S_1 = S_2$.

In order to use spectrum slope algorithm on remote sensing images, the paper select Landsat/TM images. The four radiometric bands of TMin the Visual and Near Infrared region are centered at 0.485um (0.45-0.52), 0.56um (0.52-0.6), 0.66um (0.63-0.69), 0.83um (0.76-0.9). Eight types of measured remote sensing reflectance corresponding to four radiometric bands are plotted on Fig. 2. In figure, spectrum slope value changes with wavelength and water

components. We can know remote sensing reflectance type on the basis of judging of spectrum slope S value.

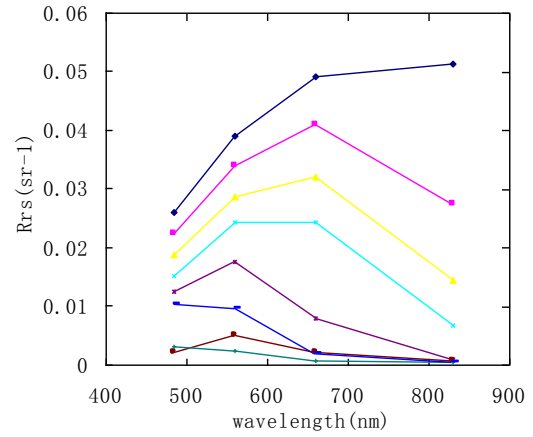


Fig. 2 Remote sensing reflectance corresponding to TM visual and near infrared band

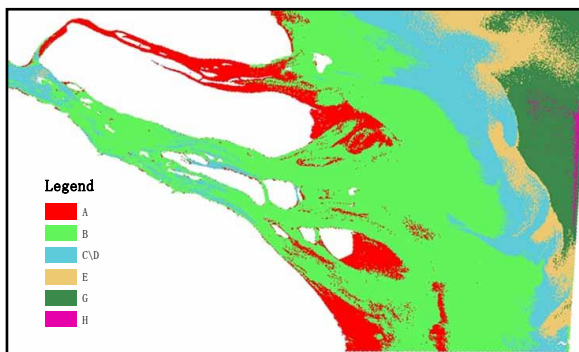
Firstly, S values of each two from Red, Green, Blue band can distinguish between turbid water and clear water. Secondly, S values of adjacent band in short wavelength can distinguish between areas with high chlorophyll, areas with low chlorophyll, and areas with very clear water from clear water. Finally, turbid water can be distinguished between high turbid water, low turbid water from S value of Green, Red and Near Infrared, et al. algorithm process are as follows:

- (1) $(R_3 - R_4) / (\lambda_3 - \lambda_4) < 0$, $(R_3 - R_4) / (\lambda_3 - \lambda_4) < (R_3 - R_1) / (\lambda_3 - \lambda_1)$, denoted by W, in site no measurement;
- (2) $(R_3 - R_4) / (\lambda_3 - \lambda_4) < 0$, $(R_3 - R_4) / (\lambda_3 - \lambda_4) \geq (R_3 - R_1) / (\lambda_3 - \lambda_1)$, denoted by H;
- (3) $(R_3 - R_4) / (\lambda_3 - \lambda_4) \geq 0$, $(R_4 - R_1) / (\lambda_4 - \lambda_1) < 0$, $(R_4 - R_1) / (\lambda_4 - \lambda_1) < (R_4 - R_2) / (\lambda_4 - \lambda_2)$, $(R_3 - R_1) / (\lambda_3 - \lambda_1) \geq 0$, denoted by F;
- (4) $(R_3 - R_4) / (\lambda_3 - \lambda_4) \geq 0$, $(R_4 - R_1) / (\lambda_4 - \lambda_1) < 0$, $(R_4 - R_1) / (\lambda_4 - \lambda_1) < (R_4 - R_2) / (\lambda_4 - \lambda_2)$, $(R_3 - R_1) / (\lambda_3 - \lambda_1) < 0$, denoted by G;
- (5) $(R_3 - R_4) / (\lambda_3 - \lambda_4) \geq 0$, $(R_4 - R_1) / (\lambda_4 - \lambda_1) < 0$, $(R_4 - R_1) / (\lambda_4 - \lambda_1) \geq (R_4 - R_2) / (\lambda_4 - \lambda_2)$, denoted by E;
- (6) $(R_3 - R_4) / (\lambda_3 - \lambda_4) \geq 0$, $(R_4 - R_1) / (\lambda_4 - \lambda_1) \geq 0$, $(R_4 - R_2) / (\lambda_4 - \lambda_2) \leq 0$, $|(R_3 - R_1) / (\lambda_3 - \lambda_1)| < |(R_1 - R_2) / (\lambda_1 - \lambda_2)|$, denoted by C and D;
- (7) $(R_3 - R_4) / (\lambda_3 - \lambda_4) \geq 0$, $(R_4 - R_1) / (\lambda_4 - \lambda_1) \geq 0$, $(R_4 - R_2) / (\lambda_4 - \lambda_2) \leq 0$, $|(R_3 - R_1) / (\lambda_3 - \lambda_1)| \geq |(R_1 - R_2) / (\lambda_1 - \lambda_2)|$, denoted by B;

$$(8) \quad (R3-R4)/(\lambda3-\lambda4) \geq 0, \quad (R4-R1)/(\lambda4-\lambda1) \geq 0, \\ (R4-R2)/(\lambda4-\lambda2) > 0, \text{ denoted by A;}$$

III. Results

The Landsat Thematic Mapper(TM) image on 13 March 2001 was used in the paper. In order to eliminate atmosphere effect, the atmospheric radiance was removed by using FLAASH methods in ENVI. After atmosphere correction, water body was classified by use of spectrum slope algorithm in the Landsat TM image. The results showed that water in Yangtze River estuary was classified six types on 13 March 2001, as shown in Fig. 3. W and F water types weren't detected. From the diagram, we also know spatial distribution of different type's water body is very law. Class A is mainly distributed in north branch of Yangtze estuary, water nearby beach (ChongmingDongtan, Jiuduansha Dong, Nanhuinearshore, et al).Class B is distributed in main branch of Yangtze estuary (south branch, north channel, south channel, north and south passage) and eastern contiguous area of beach(turbidity maximum zone).Class C/D is mainly distributed in upstream of Yangtze estuary and eastern area of class B in area off Yangtze estuary. Water type distribution from west to east off Yangtze Riverestuary is in the order of class C/D, class E and class G.Class H randomly distributed in the class G.



a. TM image classification



b. TM true color synthesis image

Fig. 3 TM images water classification

The spatial distribution characteristics of different water class show water optical difference in spatial distribution which is closely related to biogeochemical parameters in water, such as suspended sediment, chlorophyll, and CDOM (color dissolved organic matter). According to previous studies [7], suspended sediment and CDOM are predominant among water component inside Yangtze estuary. However, CDOM and chlorophyll are major component in an area off Yangtze estuary. From upstream to off Yangtze estuary, suspended sediment gradually deposited under runoff and tide current. Suspended sediment presents a definite pattern of distribution. By our survey, SSC (suspended sediment concentration) of class A reach to beyond 0.5g/l, SSC of class B is between 0.14g/l and 0.55g/l, SSC of class C/D is between 0.05g/l and 0.26g/l, SSC of class E is about between 0.0075g/l and 0.105g/l, SSC of class G is about between 0.005g/l and 0.02g/l, SSC of class H is about between 0.001g/l and 0.01g/l. chlorophyll concentration is very low, general below $10 \times 10^{-3} \text{g/l}$ except class F (beyond $10 \times 10^{-3} \text{g/l}$).

IV. Conclusions

By investigate water optical properties and biogeochemical parameters, the estuary water can be classified relying on the concentrations of optically active substances, such as suspended sediment, chlorophyll, and CDOM. Based on analysis of the shapes of reflectance spectra measured in the Yangtze estuary, the paper put forward an algorithm of reflectance spectrum slopes which can distinguish different optical classes of water bodies in the Yangtze estuary. The algorithm applied to water classes of a TM image. The classification results are basically consistent with facts. The water classification in Yangtze estuary is helpful to build up

retrieval regional models for different water class area.

The paper preliminarily shows the feasibility of an algorithm of spectrum reflectance slope which distinguish different water classes. But it should be noted that in the present paper the optical properties considered only water spectrum reflectance and the algorithm applied only to a TM image. The results also exist disadvantages. In order to build up a sophisticated algorithm, we must develop many optical investigations in the future.

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